

## Lecture 4

# Structured Preferences

Reminder about starting recording

# Story So Far

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No group-level  
transitivity

Condorcet  
paradox

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No "reasonable"  
voting rules

Gibbard-Satterthwaite  
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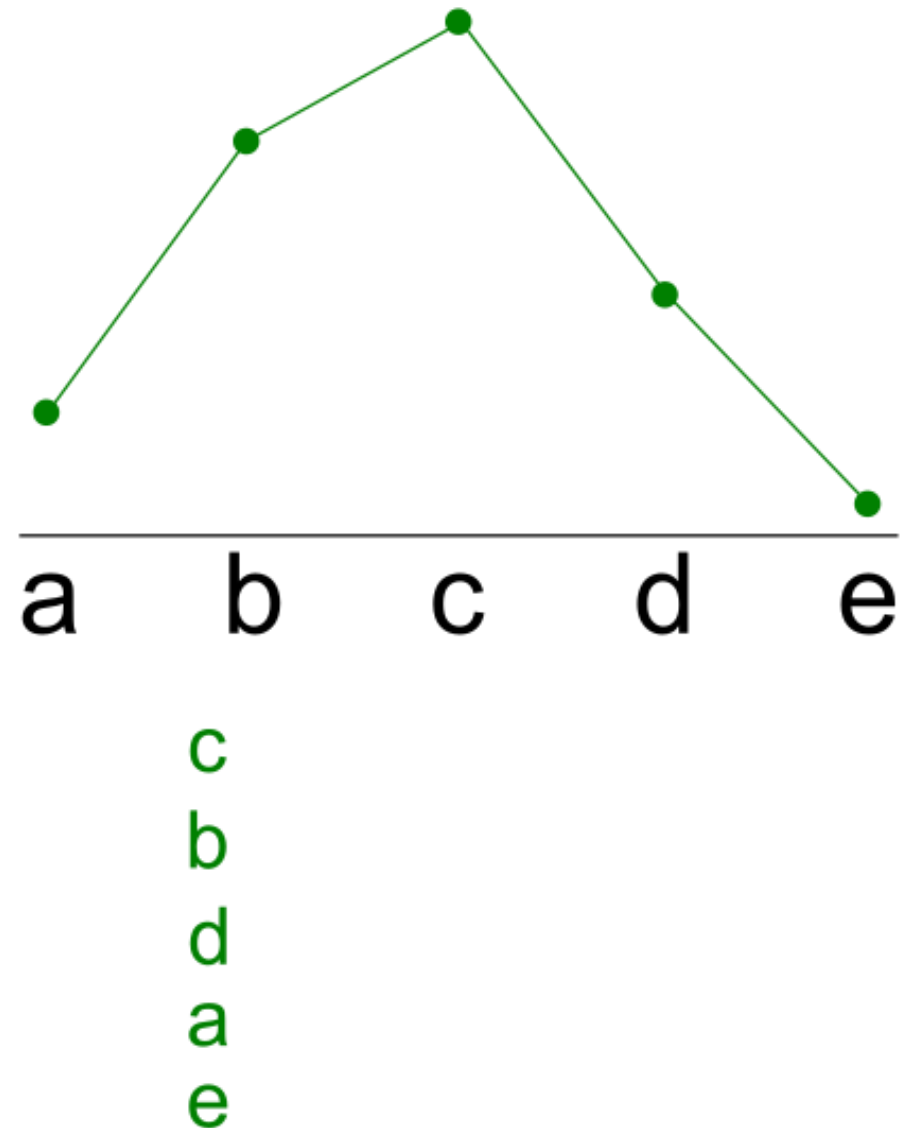
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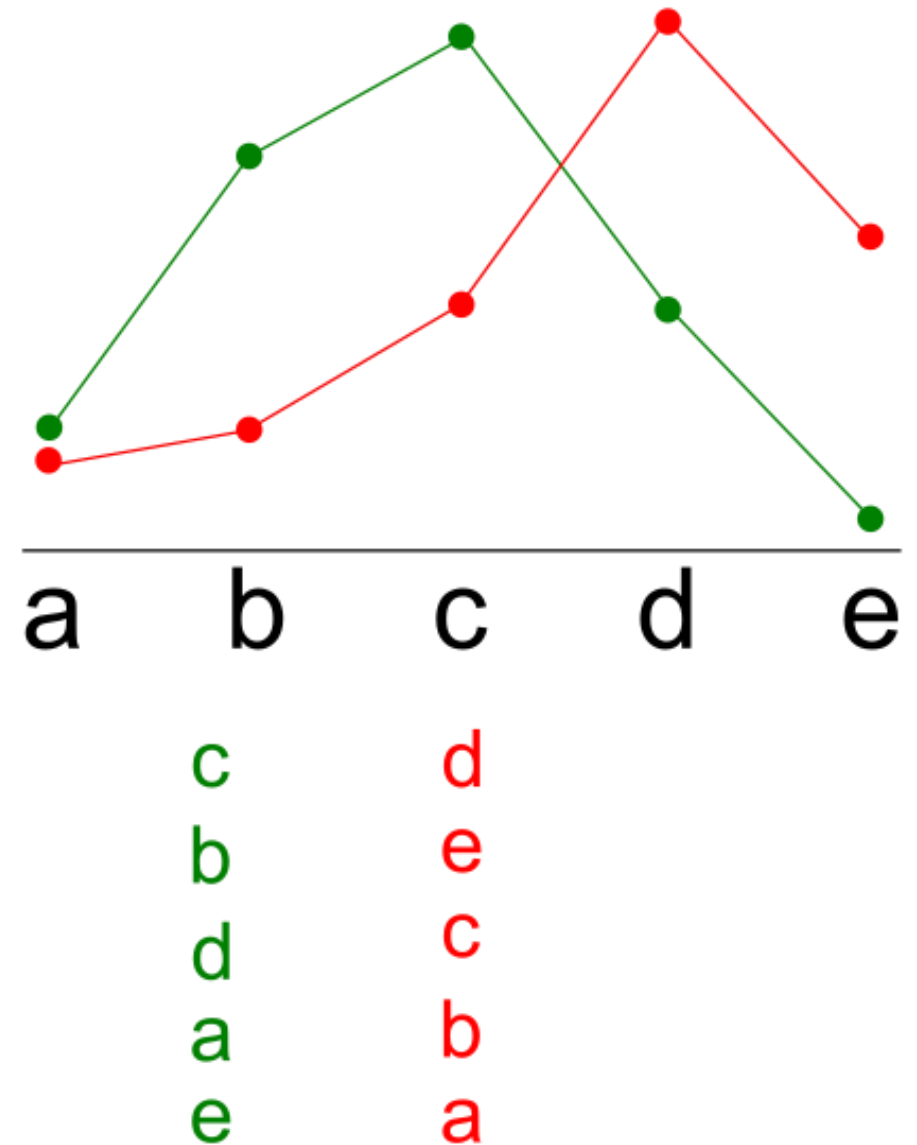
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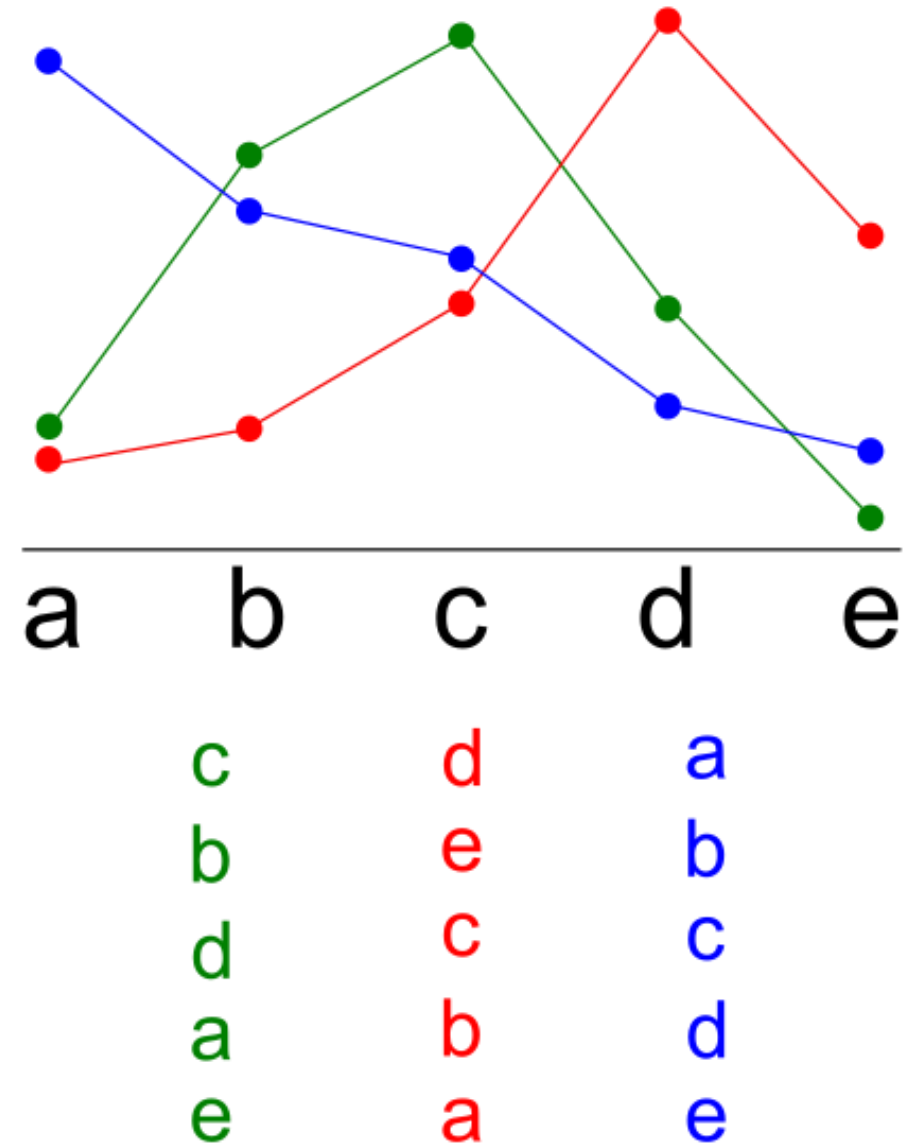
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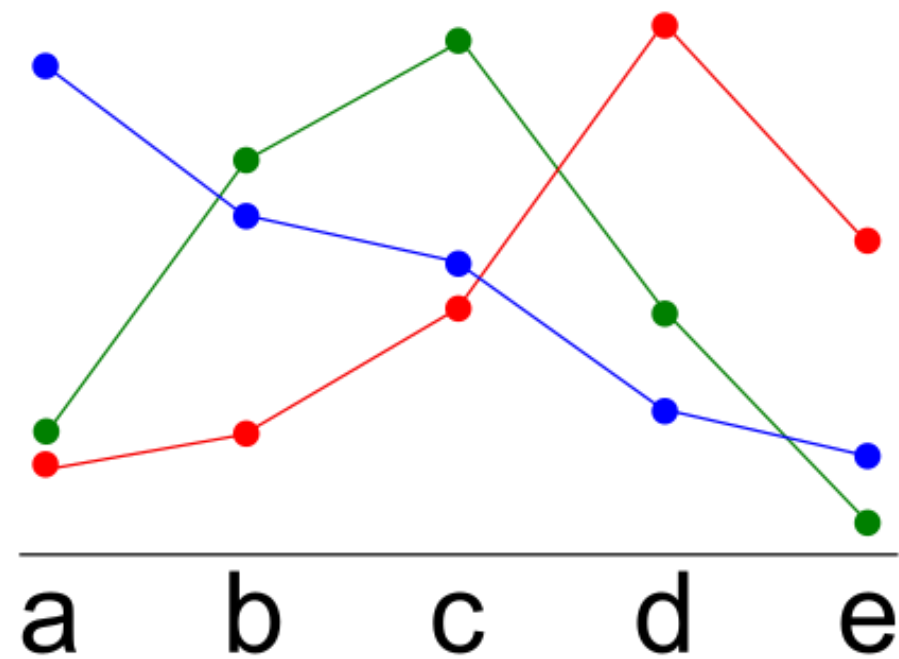
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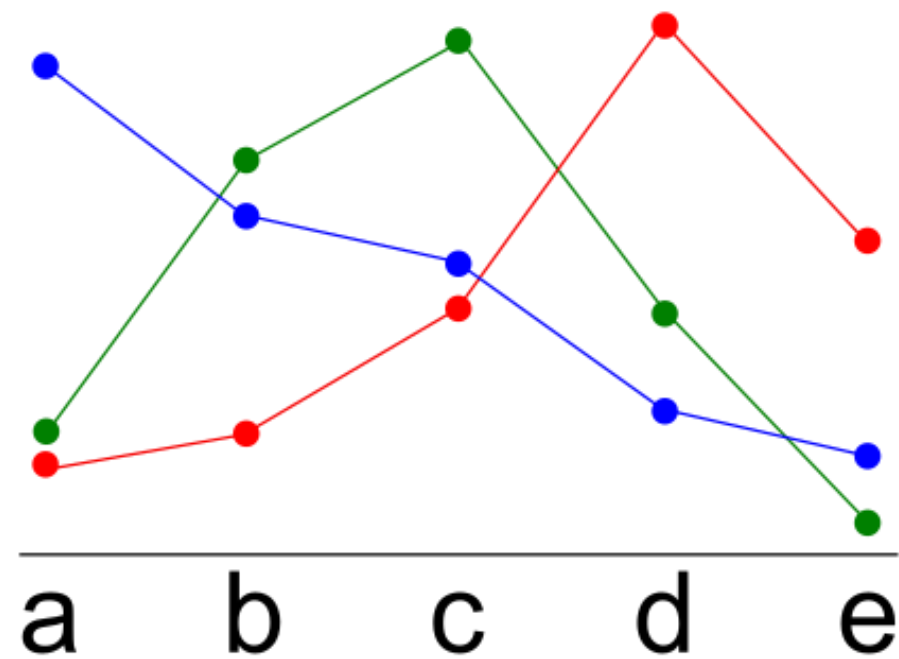
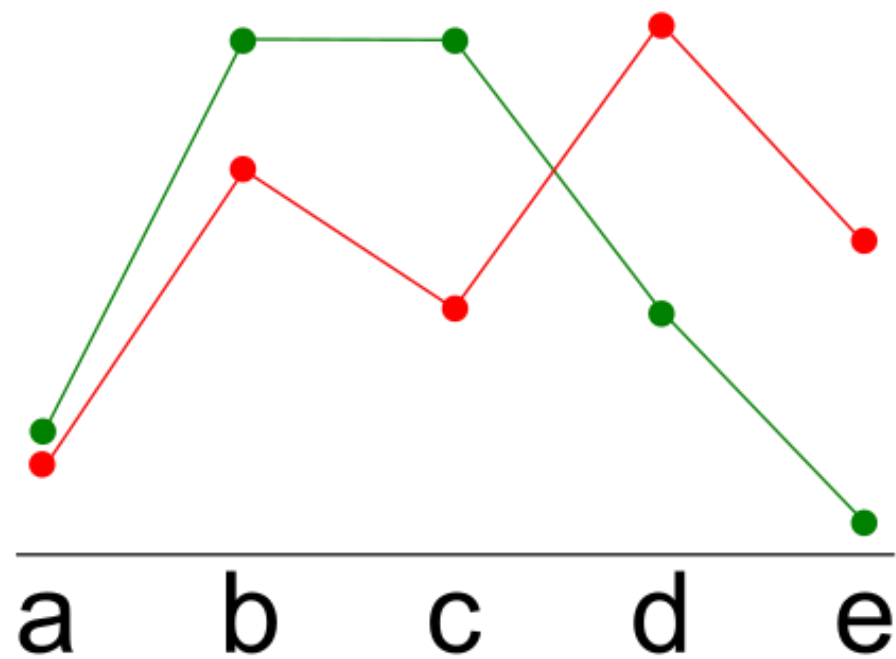
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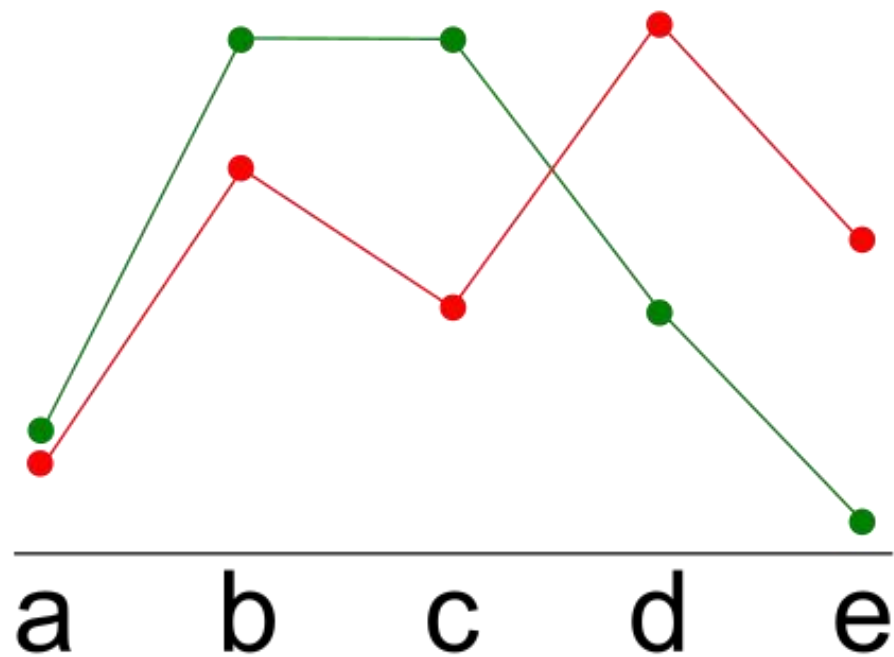
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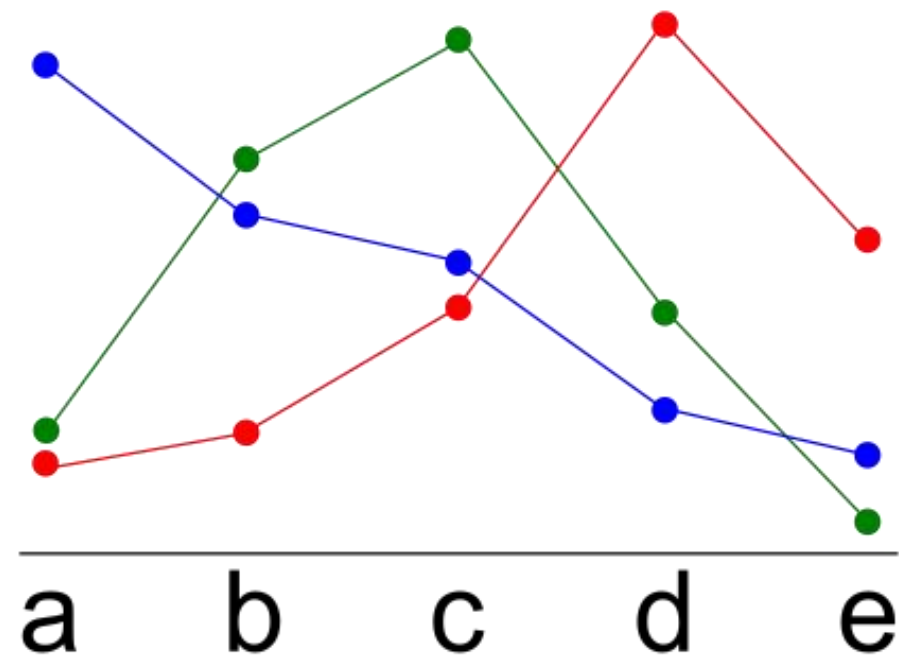


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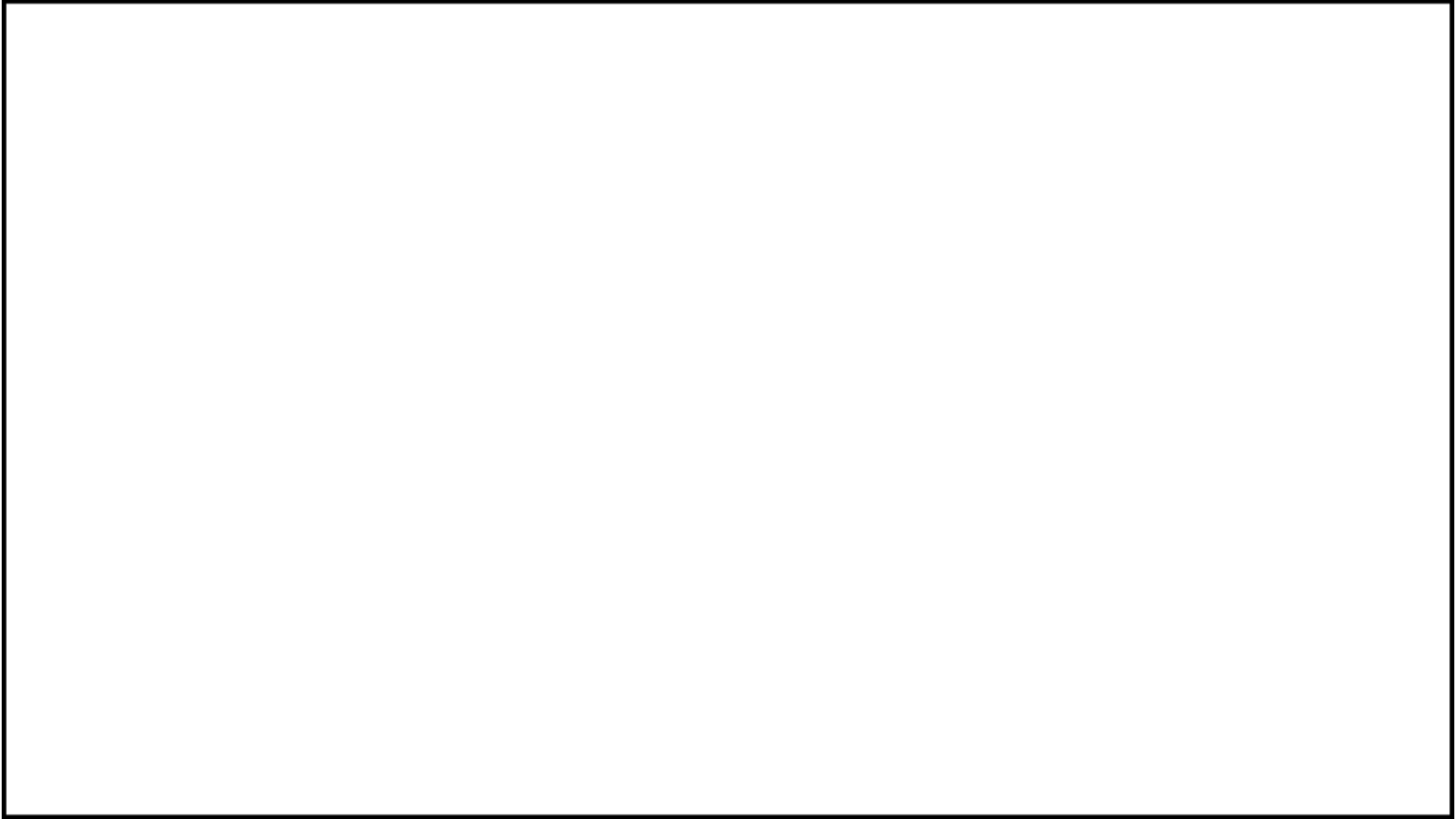
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**NOT  
SINGLE-PEAKED**



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17 18 19 20 21



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5% 10% 15% 20%



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20° 21° 22° 23°



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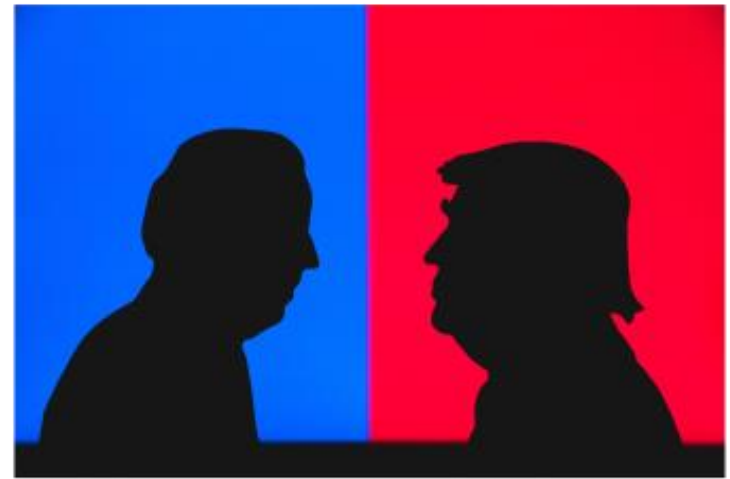
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Liberal Conservative

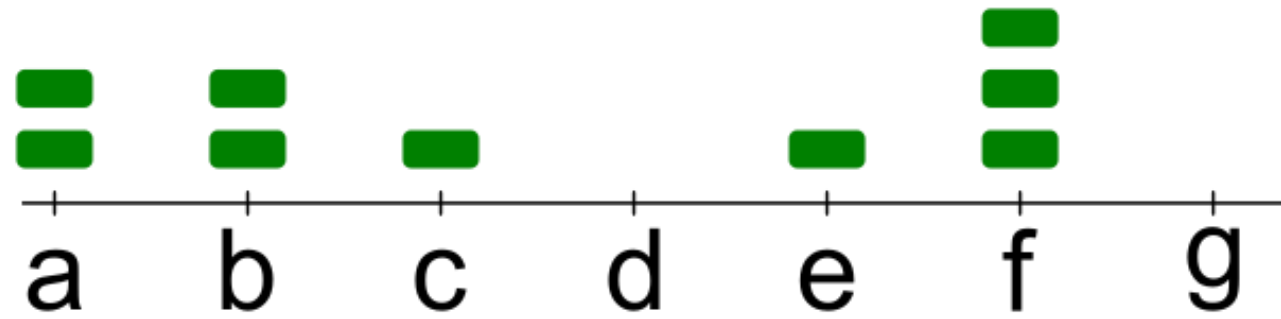
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Order the voters according to their top choices

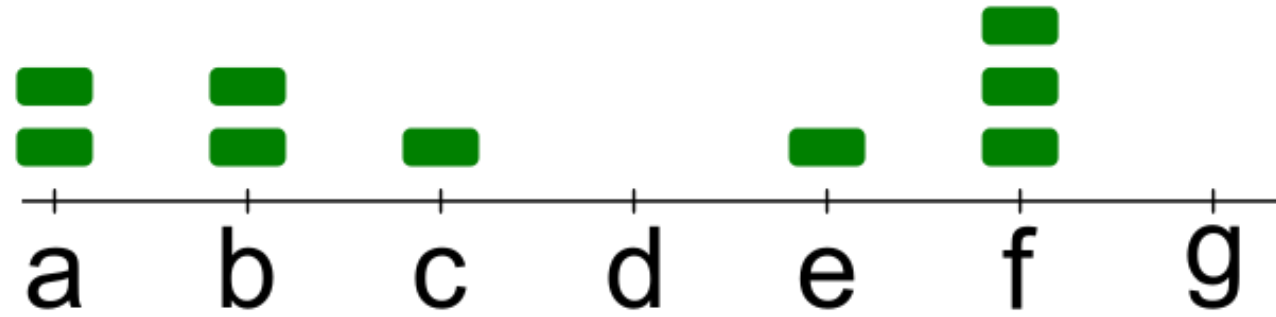
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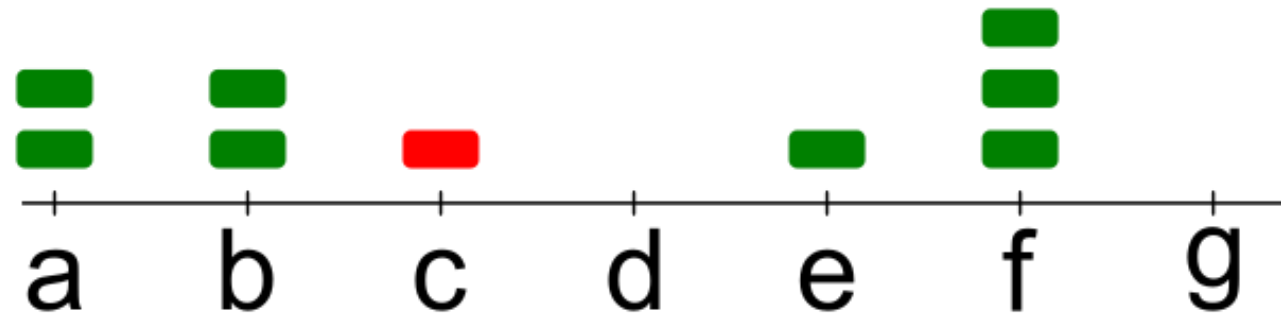
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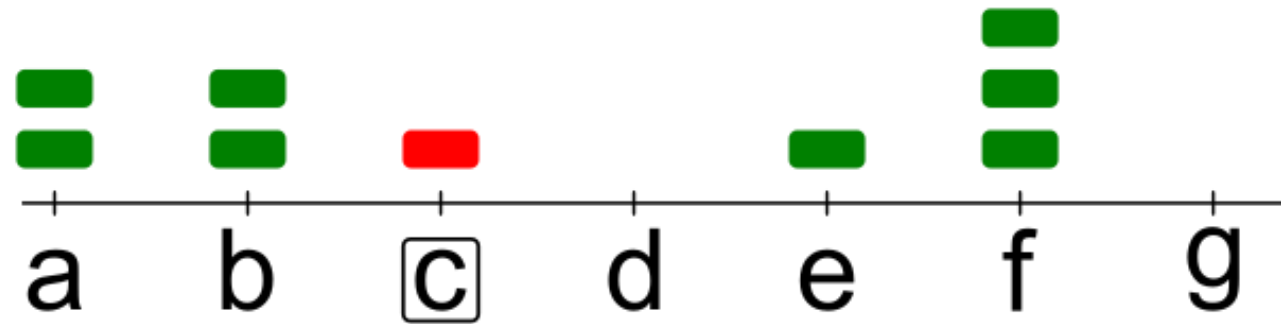
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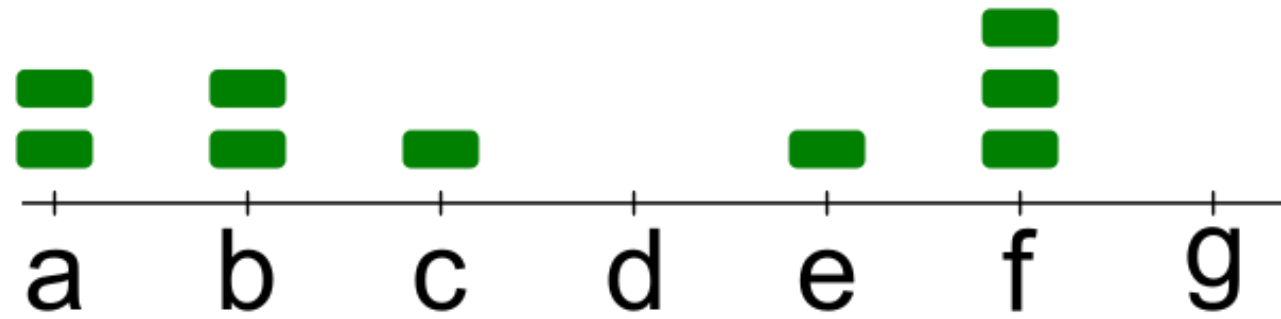


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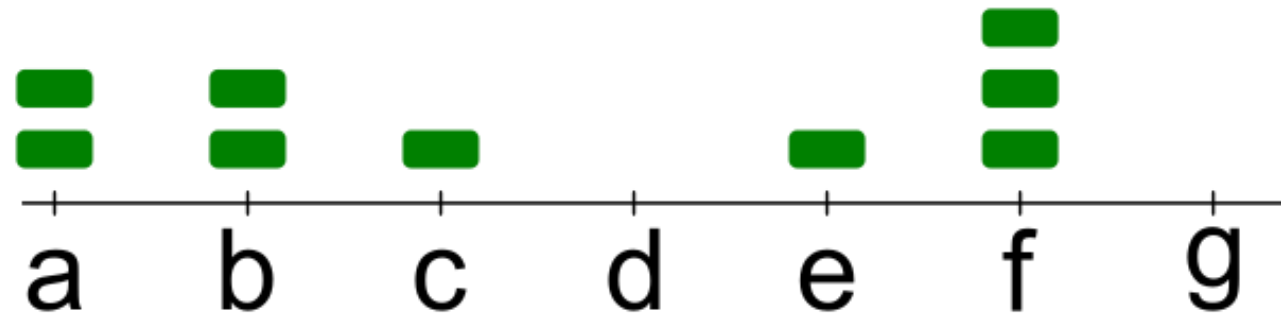
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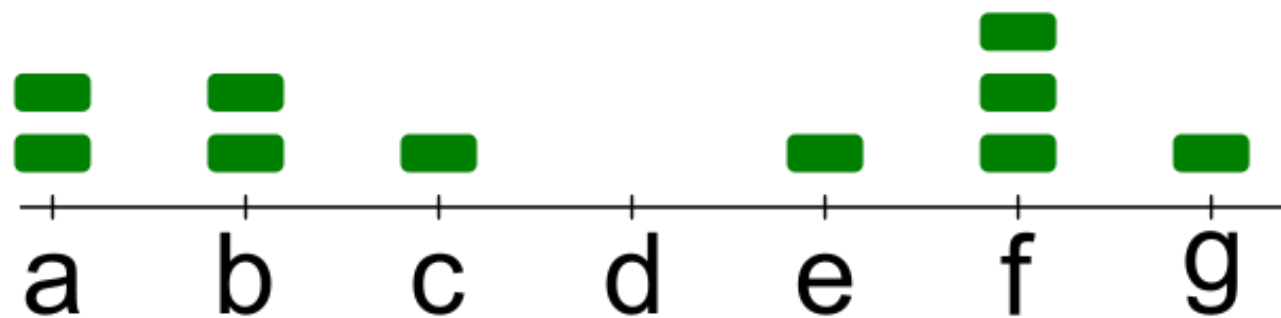
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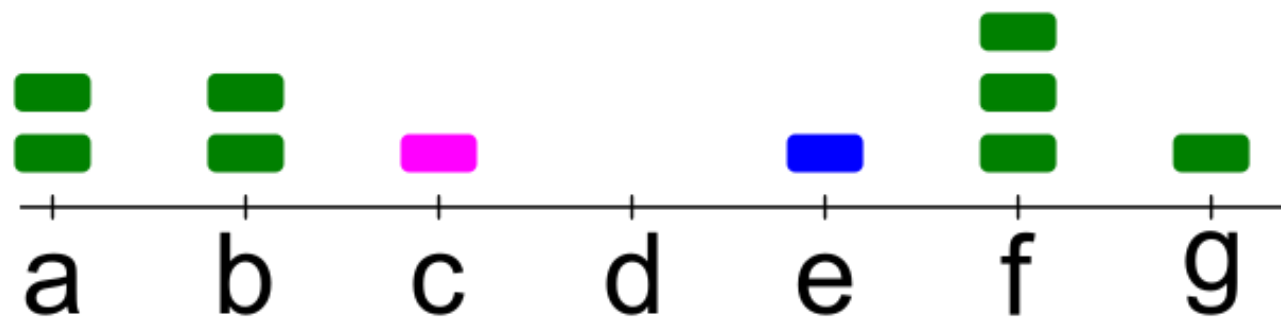
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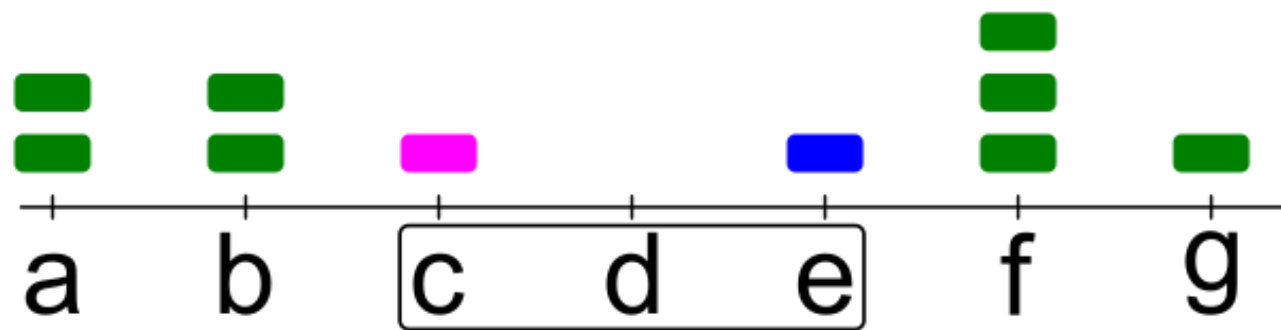
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All candidates between  
 $\text{top}(v_k)$  and  $\text{top}(v_{k+1})$   
are weak Condorcet winners

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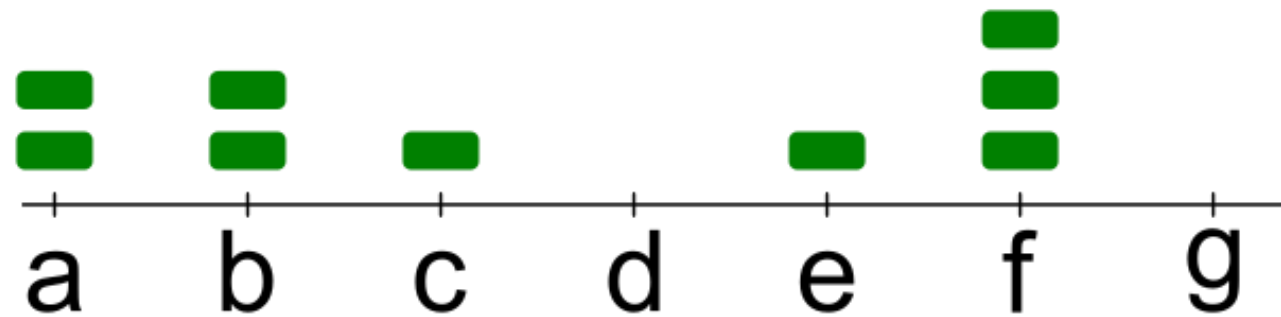
### **Median voter rule**

1. Each voter reports its favorite candidate (or "peak").
2. The\* median of the reported peaks is the winner.

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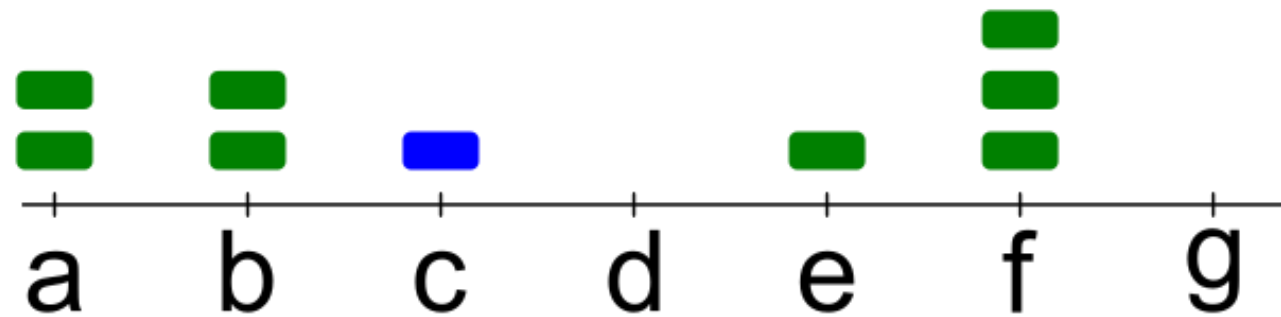
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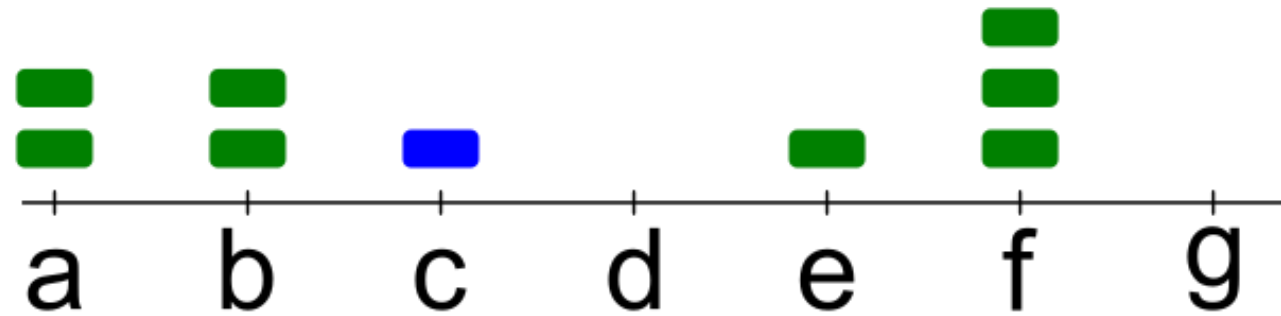
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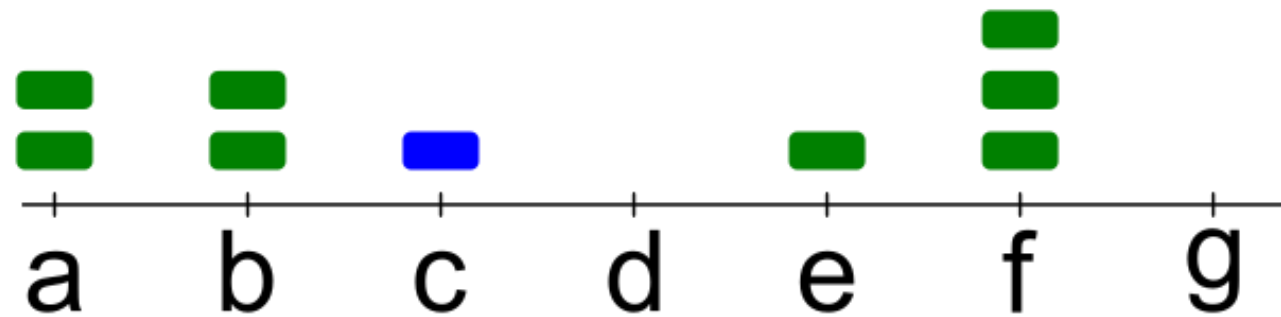
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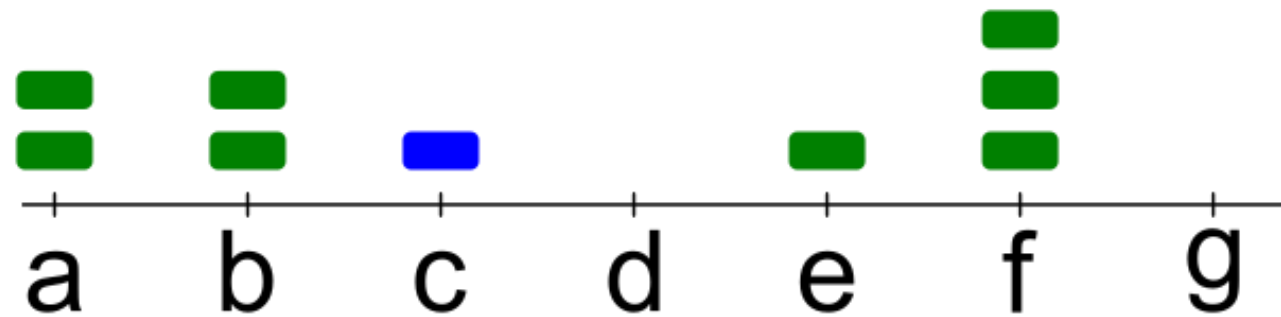


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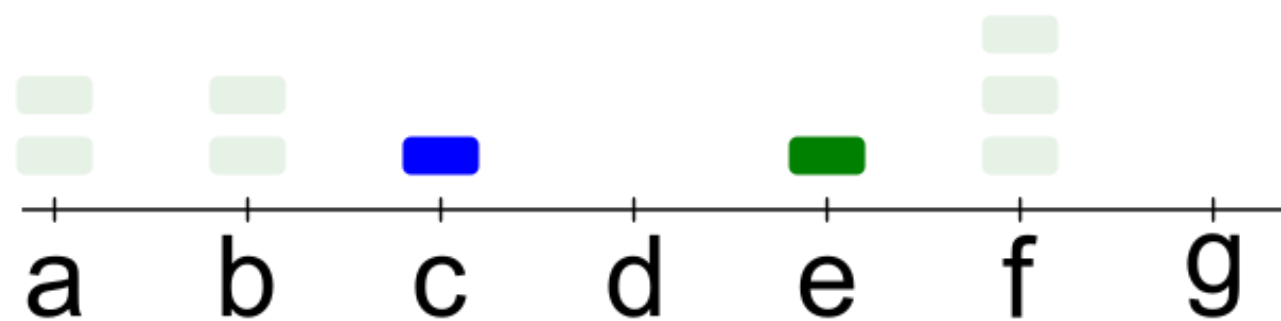


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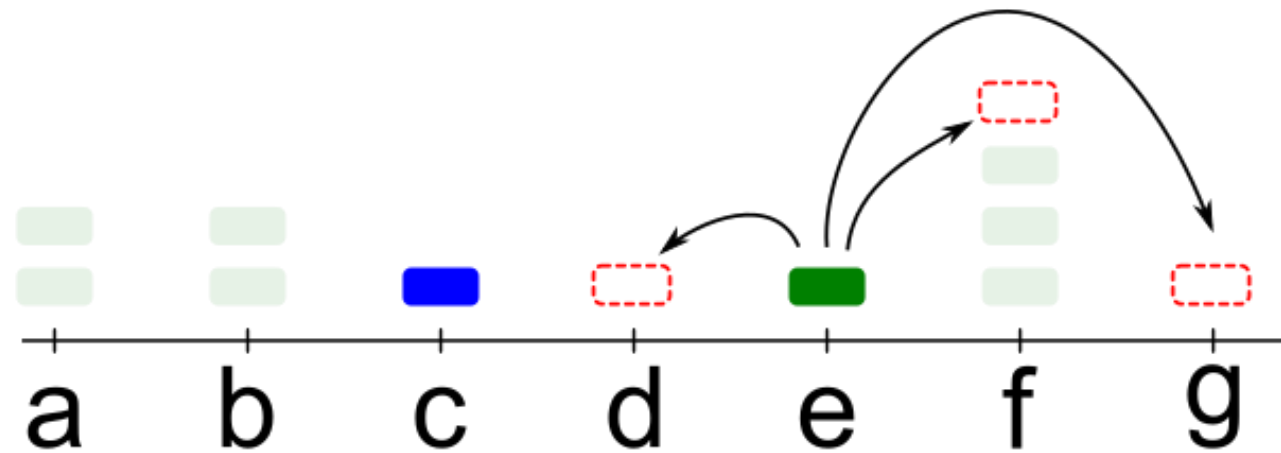


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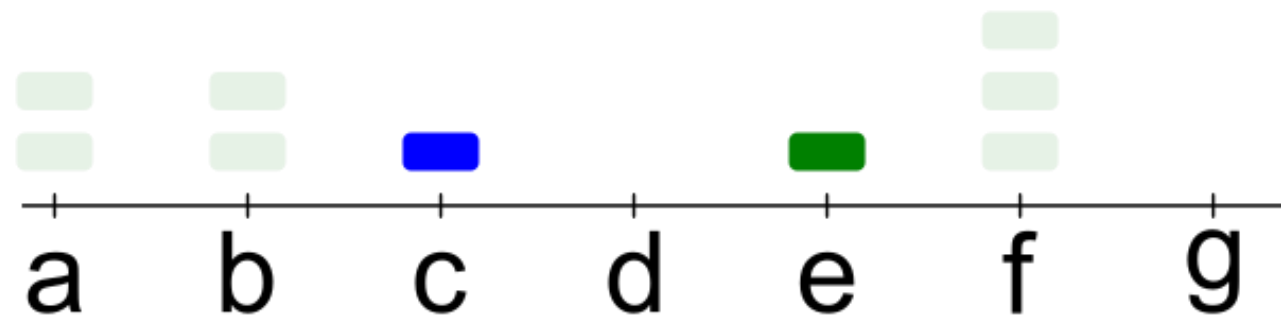


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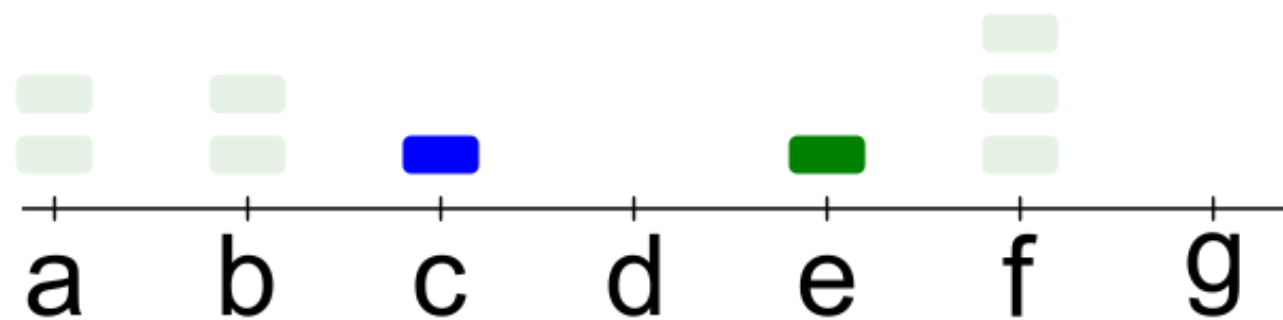
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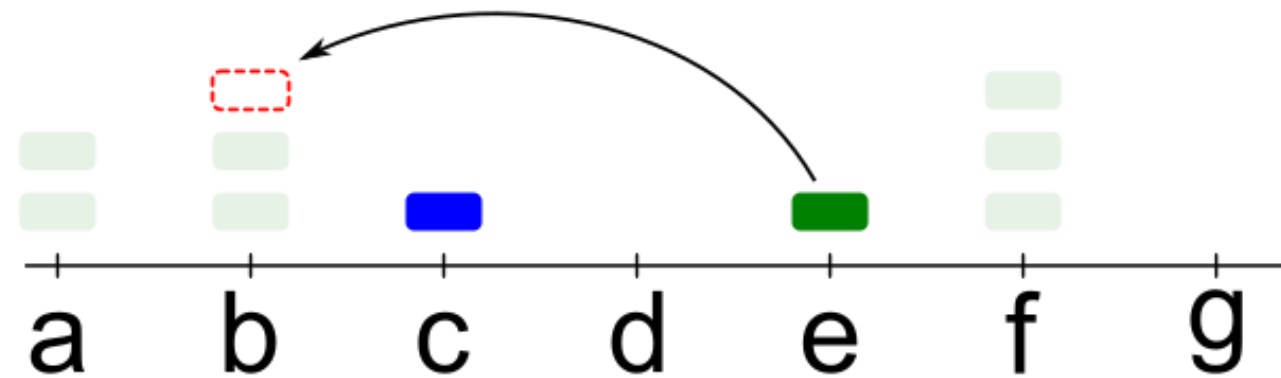
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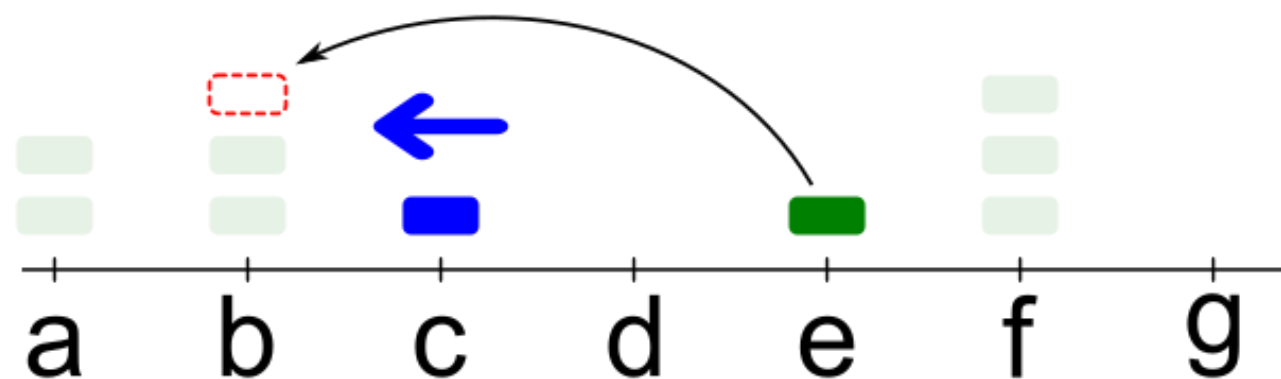
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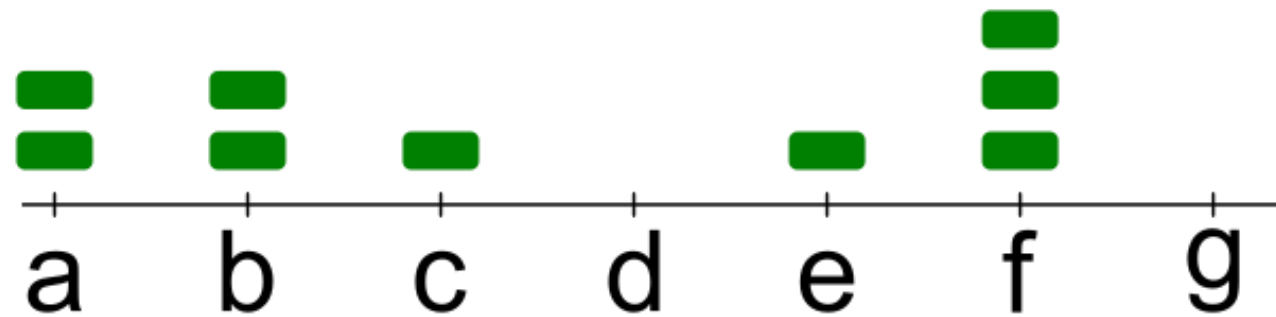
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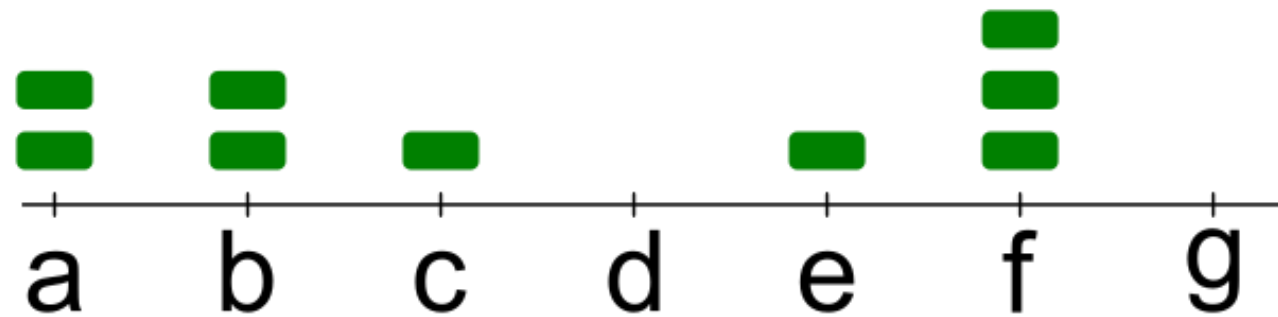
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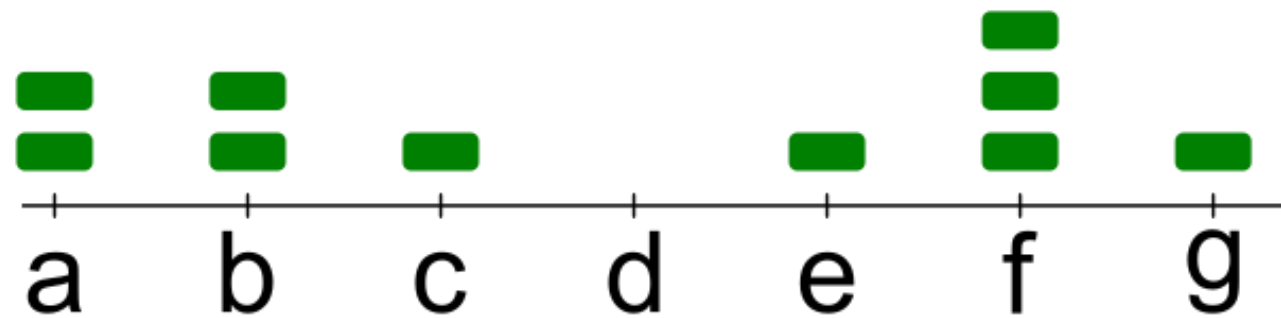
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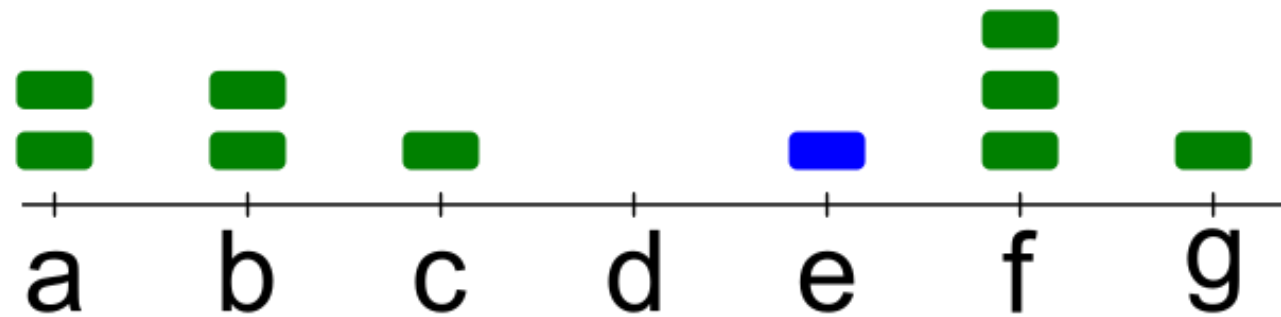


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Deterministically pick a fixed median (either left or right).



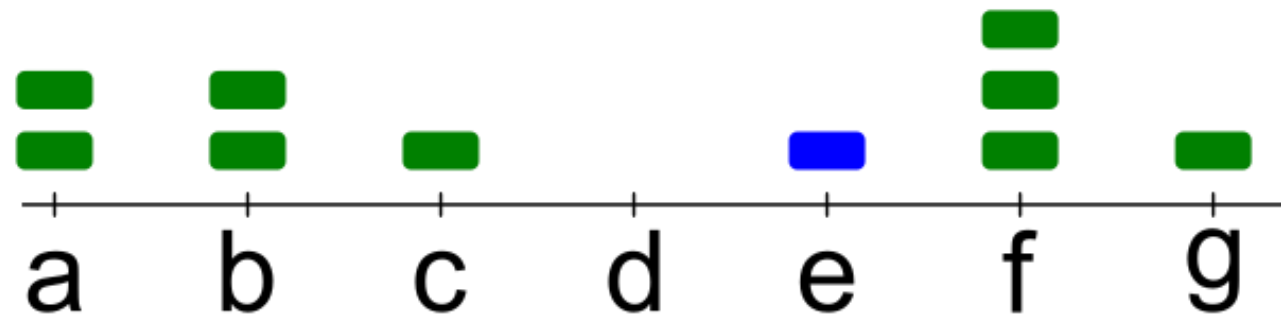
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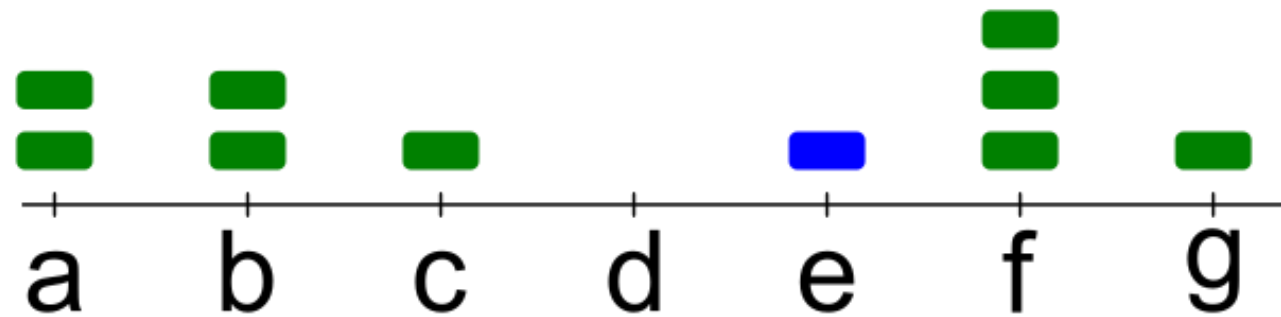
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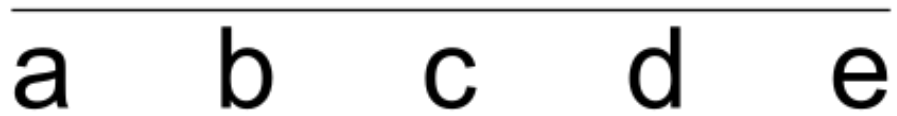


w.r.t. a **given** axis

w.r.t. **some** axis


Recognizing Single-Peaked Prefs w.r.t. a given axis

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a b c d e




b  
c  
d  
a  
e



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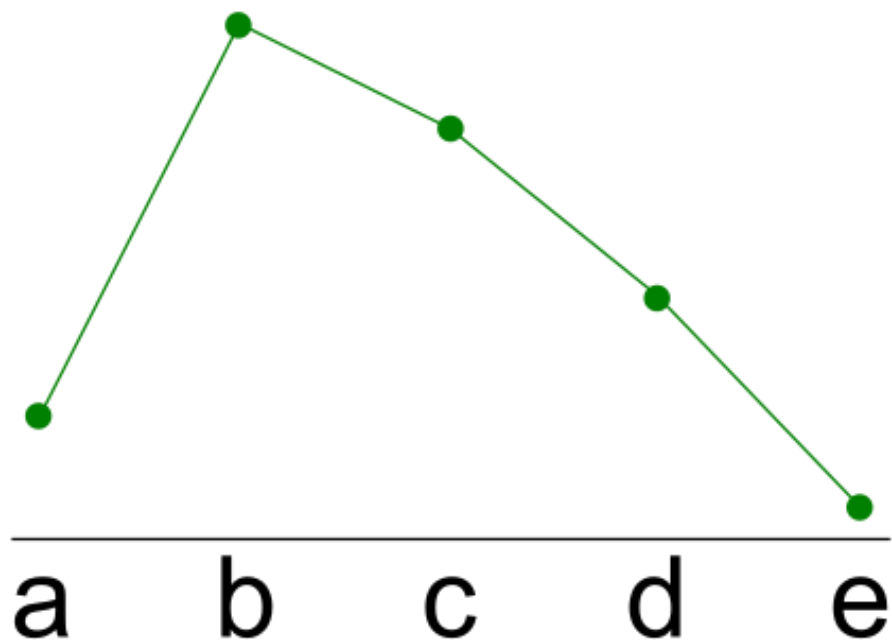
a b c d e



b  
c  
d  
a  
e

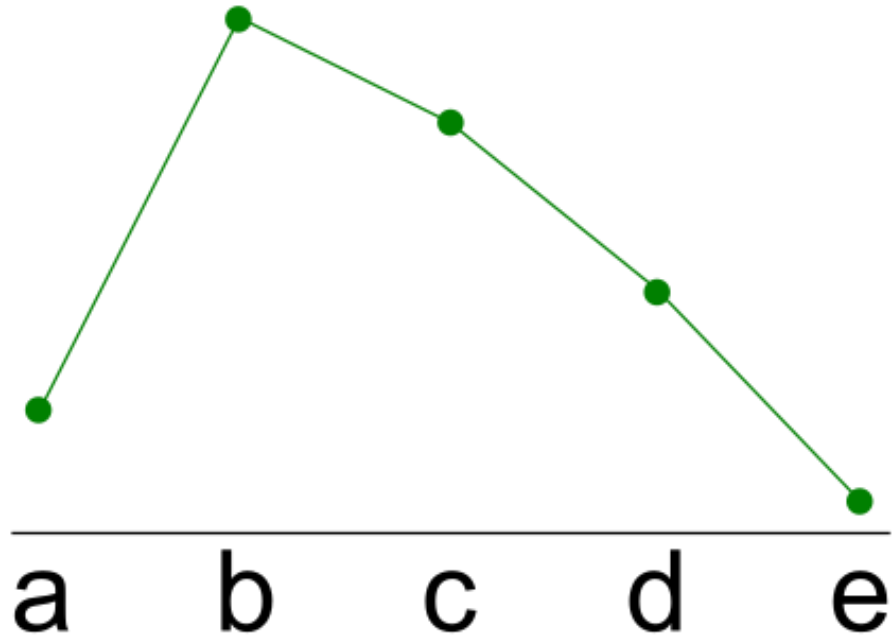


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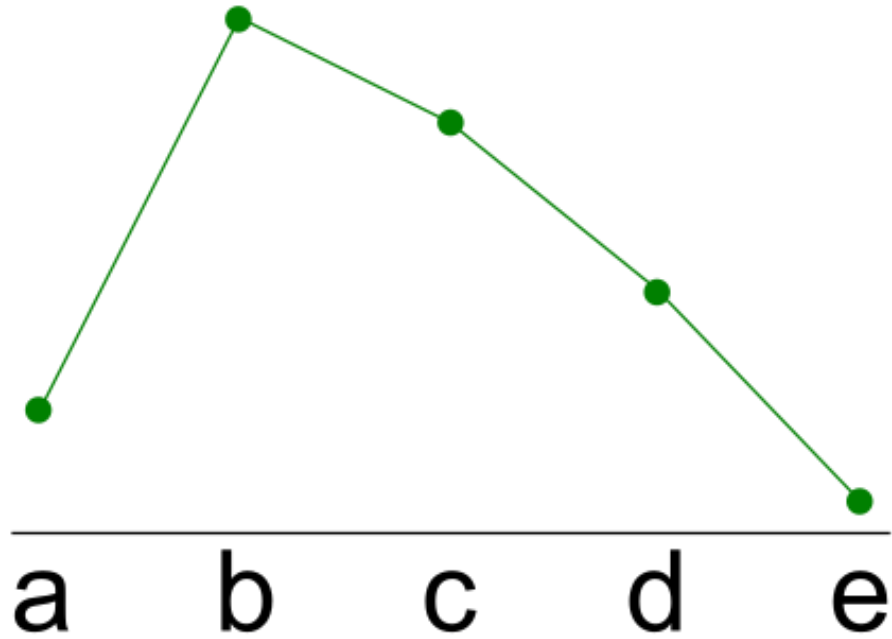
$b$   
 $c$   
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 $e$

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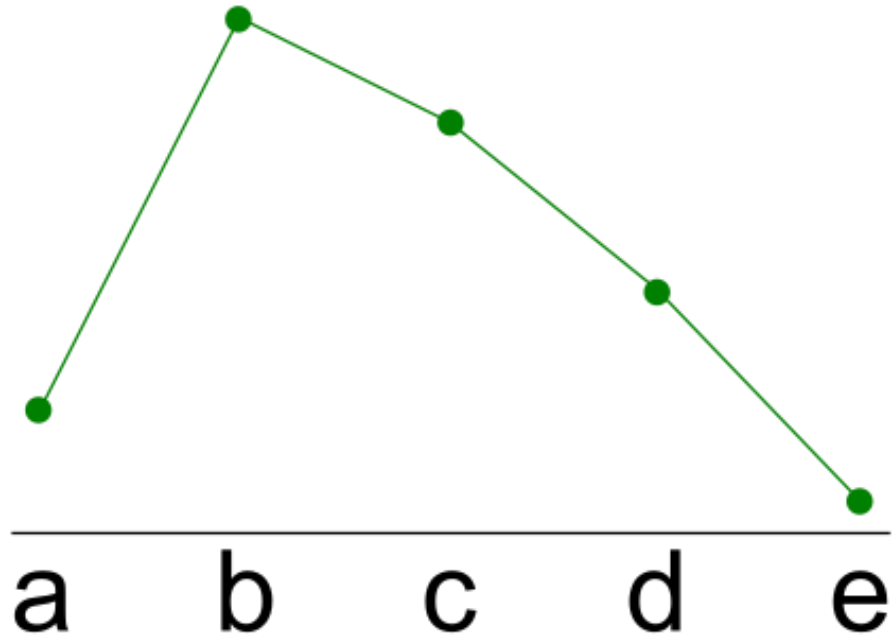


b  
c  
d  
a  
e

d  
e  
c  
b  
a



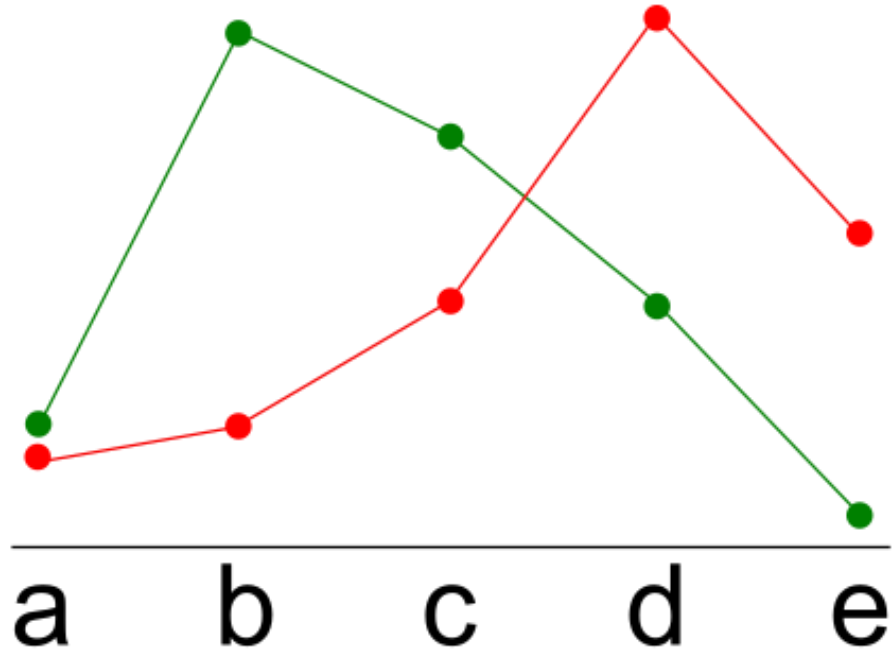
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b  
c  
d  
a  
e

d  
e  
c  
b  
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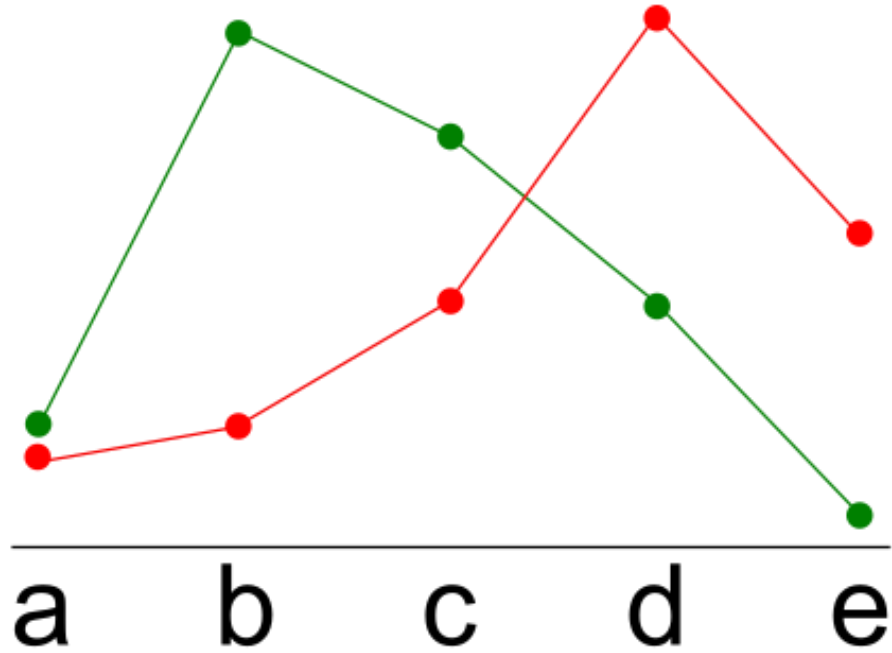


b  
c  
d  
a  
e



d  
e  
c  
b  
a

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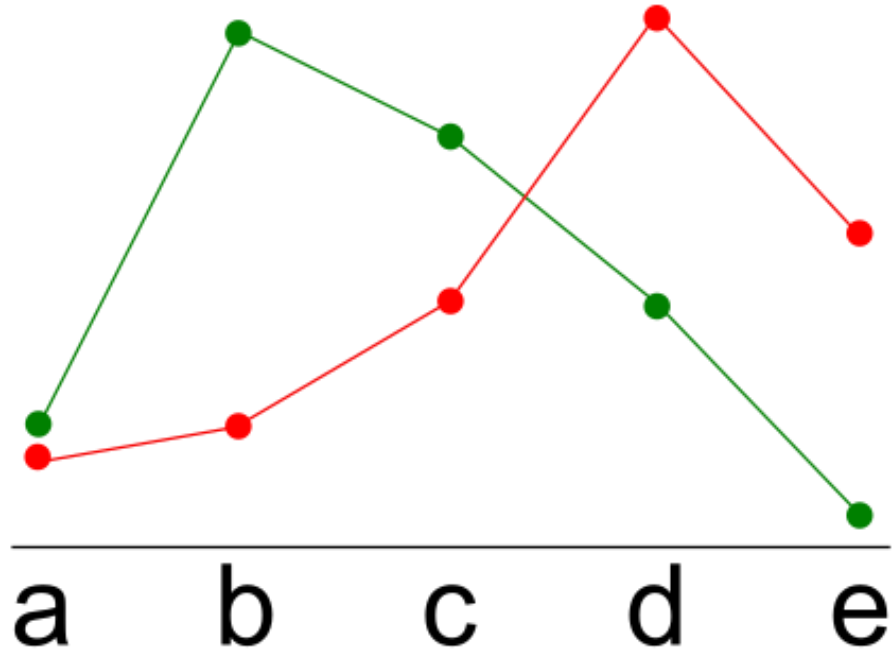
b  
c  
d  
a  
e



d  
e  
c  
b  
a

a  
b  
c  
e  
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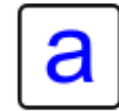
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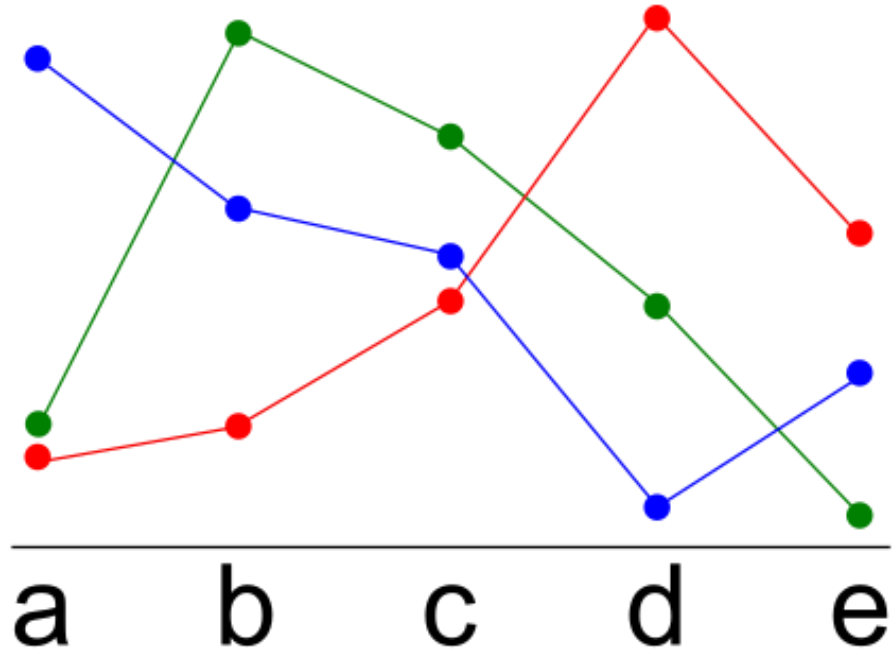


d  
e  
c  
b  
a



b  
c  
e  
d

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b  
c  
d  
a  
e



d  
e  
c  
b  
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a  
b  
c  
e  
d

Recognizing Single-Peaked Prefs w.r.t. some axis

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For this, let us discuss an equivalent definition of single-peaked preferences.

# Contiguous Segments Property



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A preference profile satisfies contiguous segments property w.r.t.  $<$  if, for each vote and for every  $k$ , the set of top- $k$  candidates in that vote forms a contiguous segment w.r.t.  $<$ .

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b  
c  
d  
a  
e

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a    b    c    d    e

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      —

b  
c  
d  
a  
e

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a    b    c    d    e

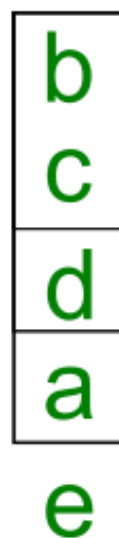
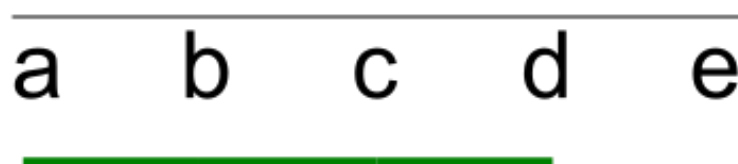
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b  
c  
d  
a  
e

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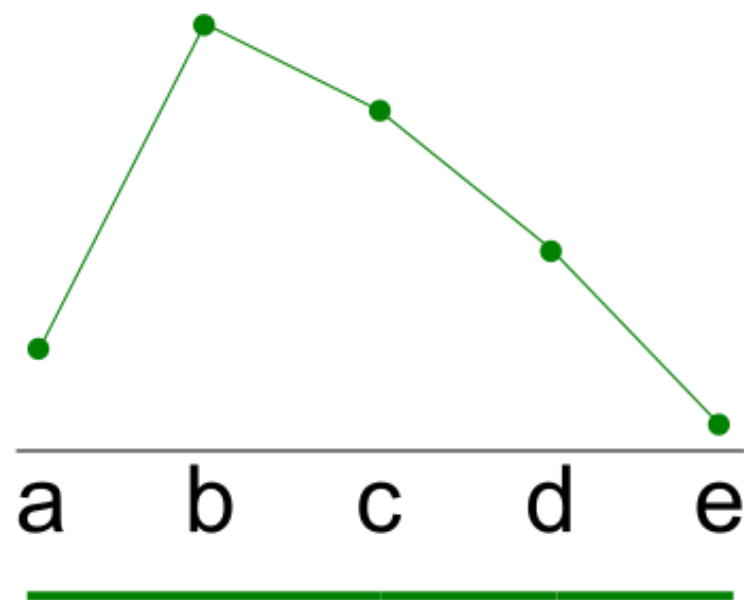
a   b   c   d   e

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b
c
d
a
e

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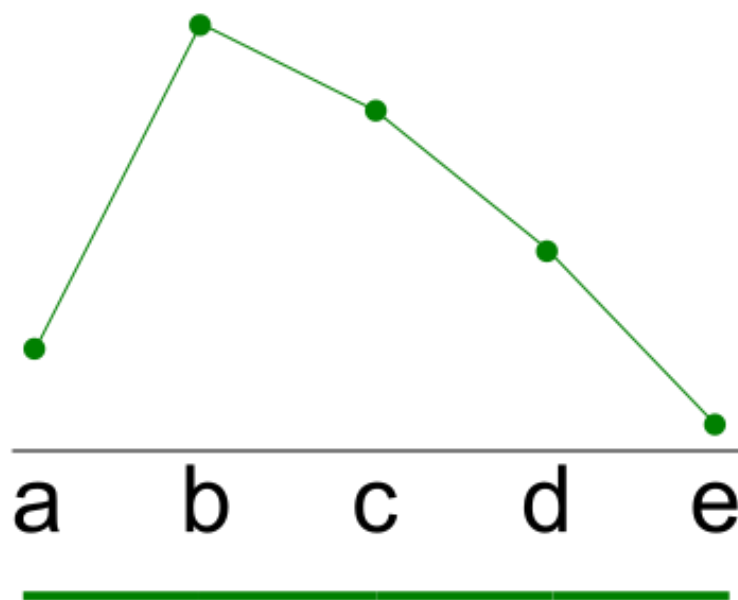


b
c
d
a
e



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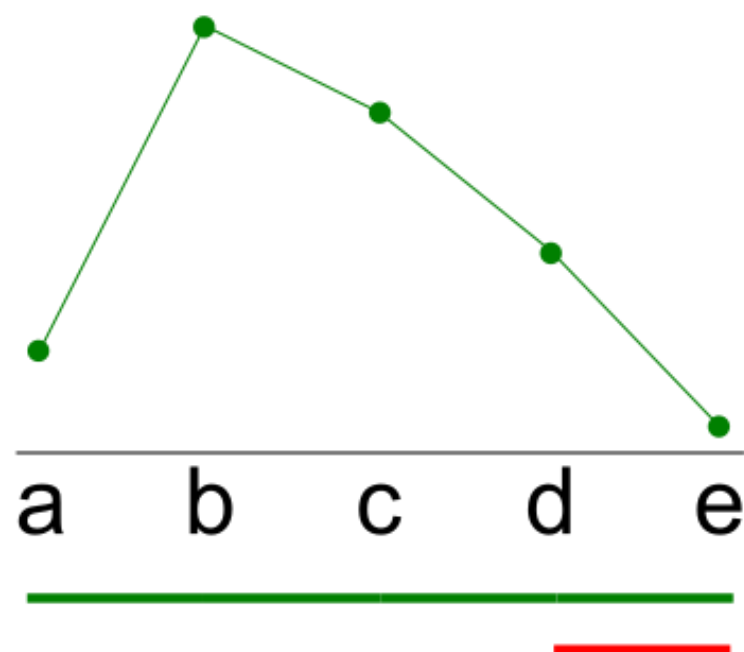


b  
c  
d  
a  
e

d  
e  
c  
b  
a

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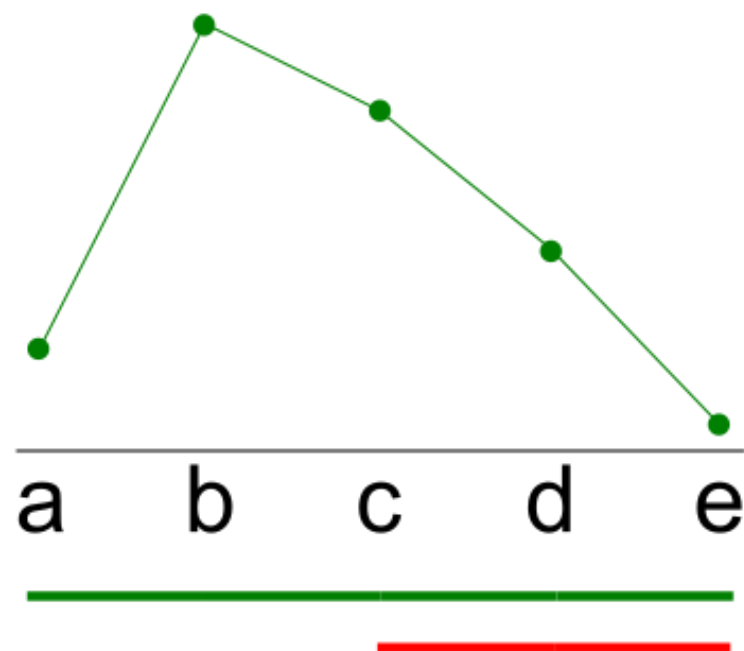


b  
c  
d  
a  
e

d  
e  
c  
b  
a

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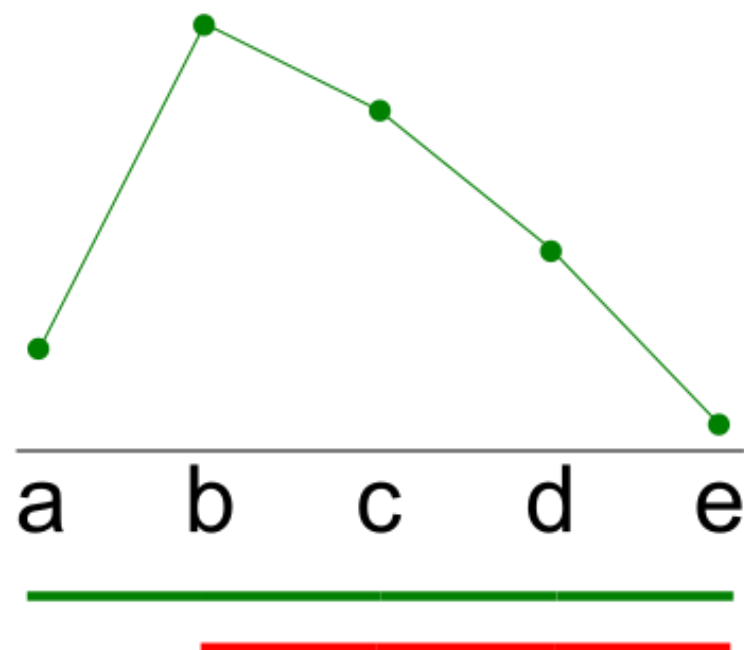


b  
c  
d  
a  
e

d
e
c
b
a

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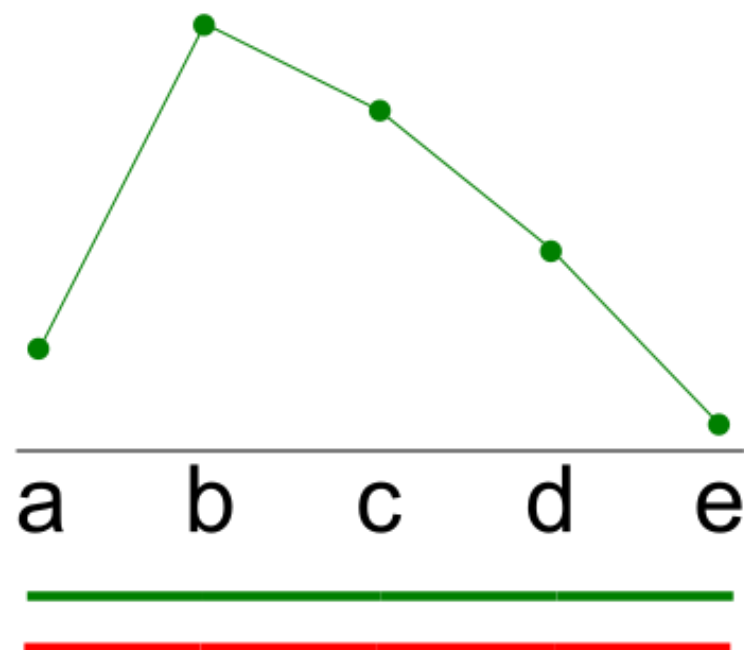


b  
c  
d  
a  
e

d
e
c
b
a

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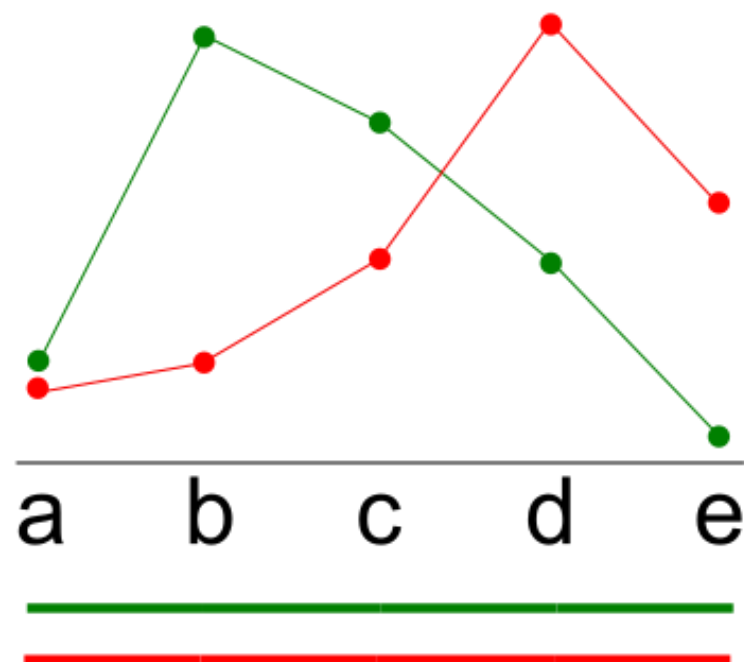


b  
c  
d  
a  
e

d
e
c
b
a

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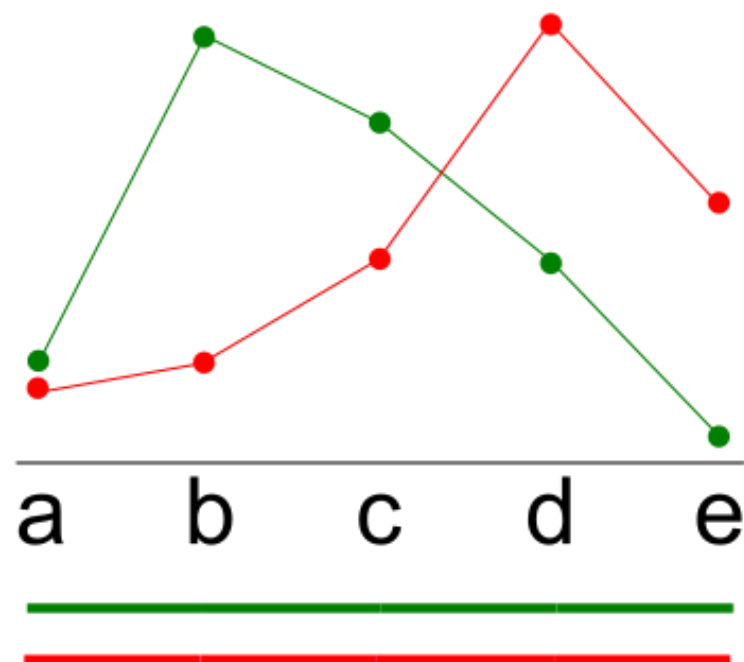


b  
c  
d  
a  
e

d
e
c
b
a

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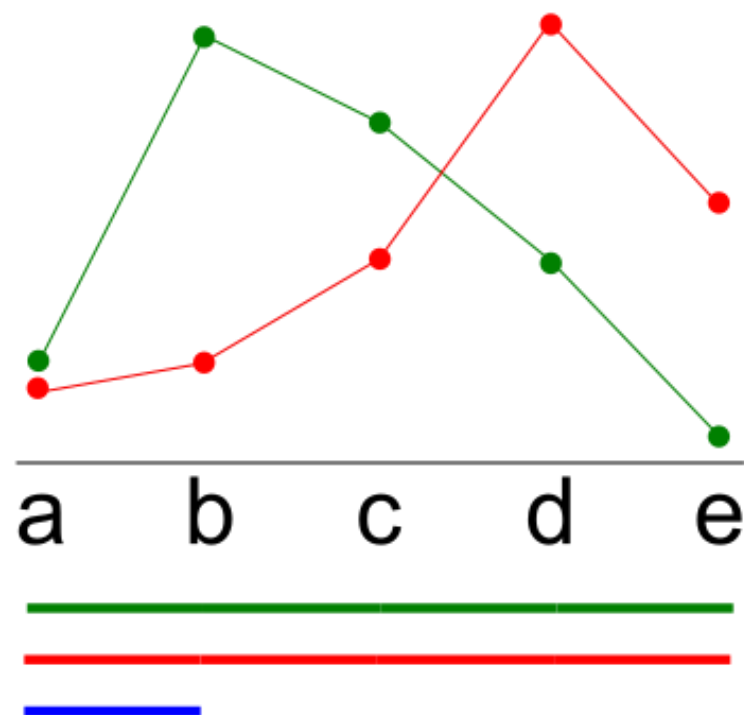
b  
c  
d  
a  
e

d  
e  
c  
b  
a

a  
b  
c  
d  
e

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b  
c  
d  
a  
e

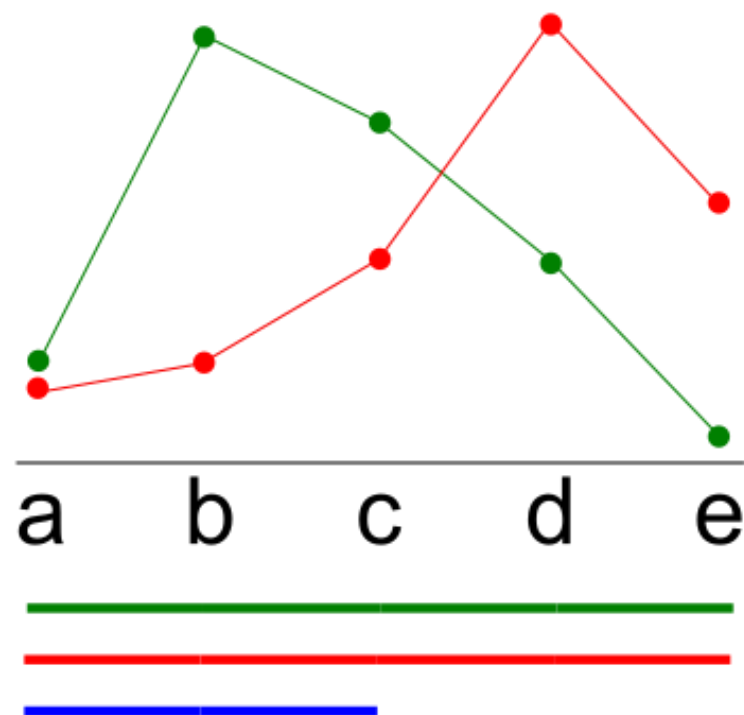
d  
e  
c  
b  
a

a  
b  
c  
d  
e



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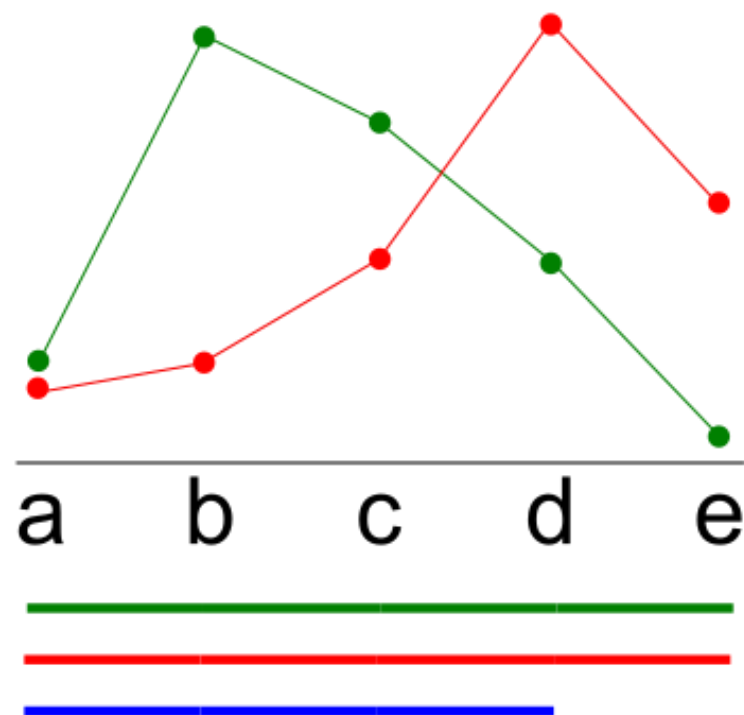
b  
c  
d  
a  
e

d  
e  
c  
b  
a

a
b
c
d
e

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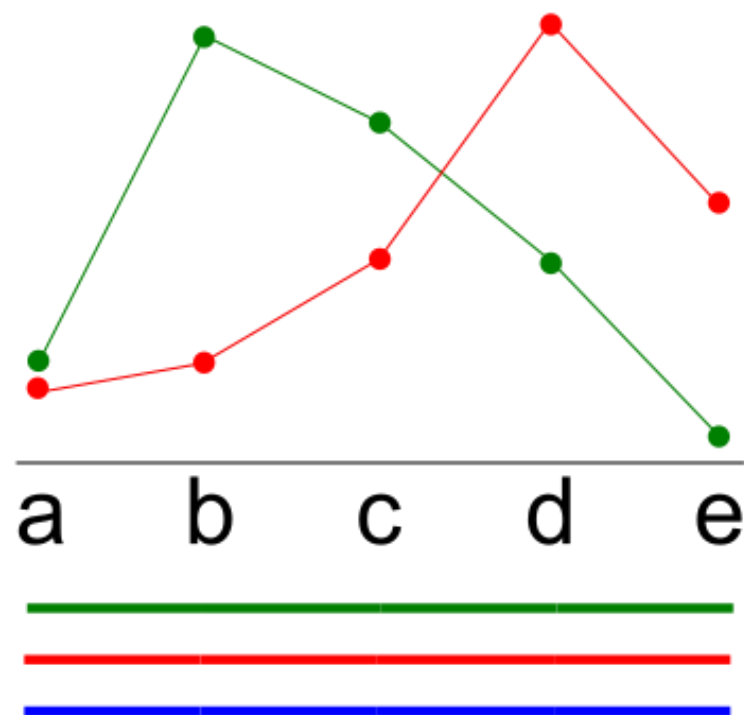
b  
c  
d  
a  
e

d  
e  
c  
b  
a

a
b
c
d
e

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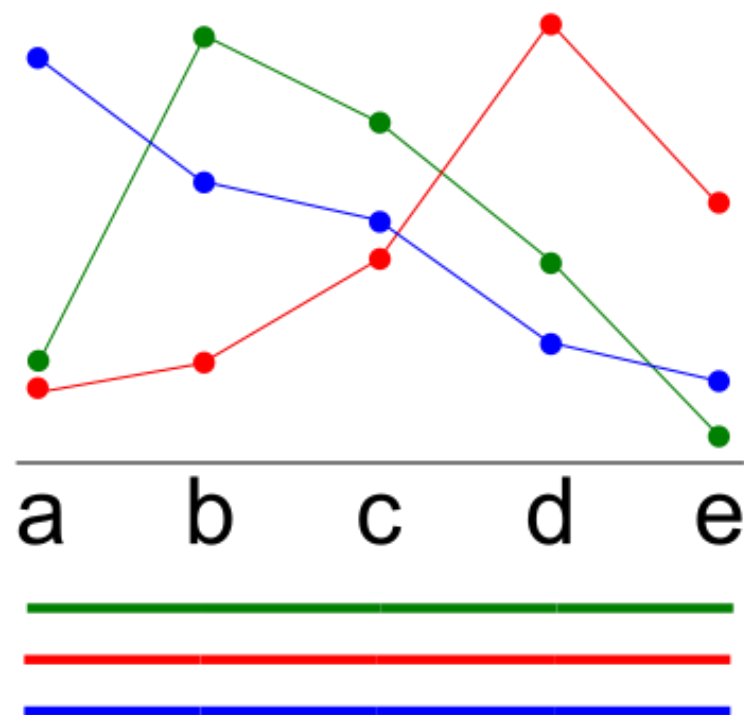
b  
c  
d  
a  
e

d  
e  
c  
b  
a

a
b
c
d
e

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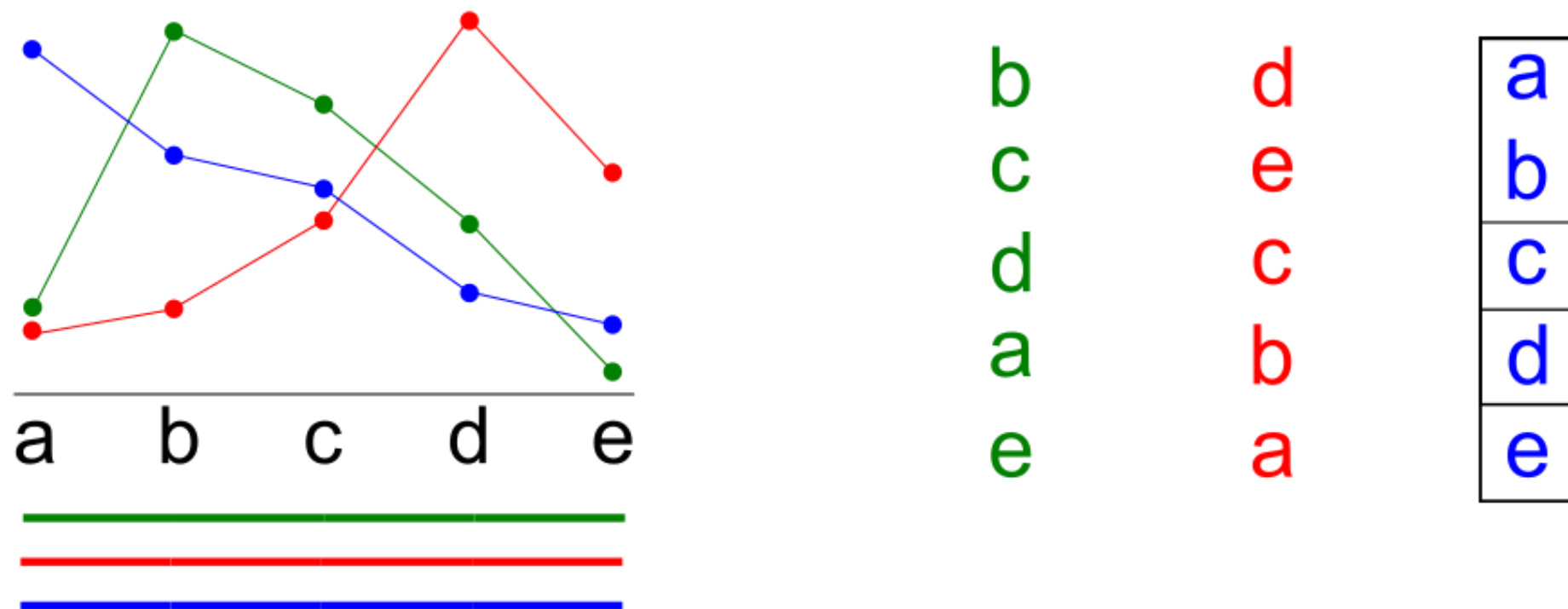
b  
c  
d  
a  
e

d  
e  
c  
b  
a

a
b
c
d
e

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Contiguous segments (w.r.t.  $<$ )  $\Leftrightarrow$  Single-peaked (w.r.t.  $<$ )

Contiguous Segments  $\Rightarrow$  Single-Peaked

# Contiguous Segments $\Rightarrow$ Single-Peaked

Suppose the contiguous segments property holds w.r.t. the axis  $\leftarrow$ .

# Contiguous Segments $\Rightarrow$ Single-Peaked

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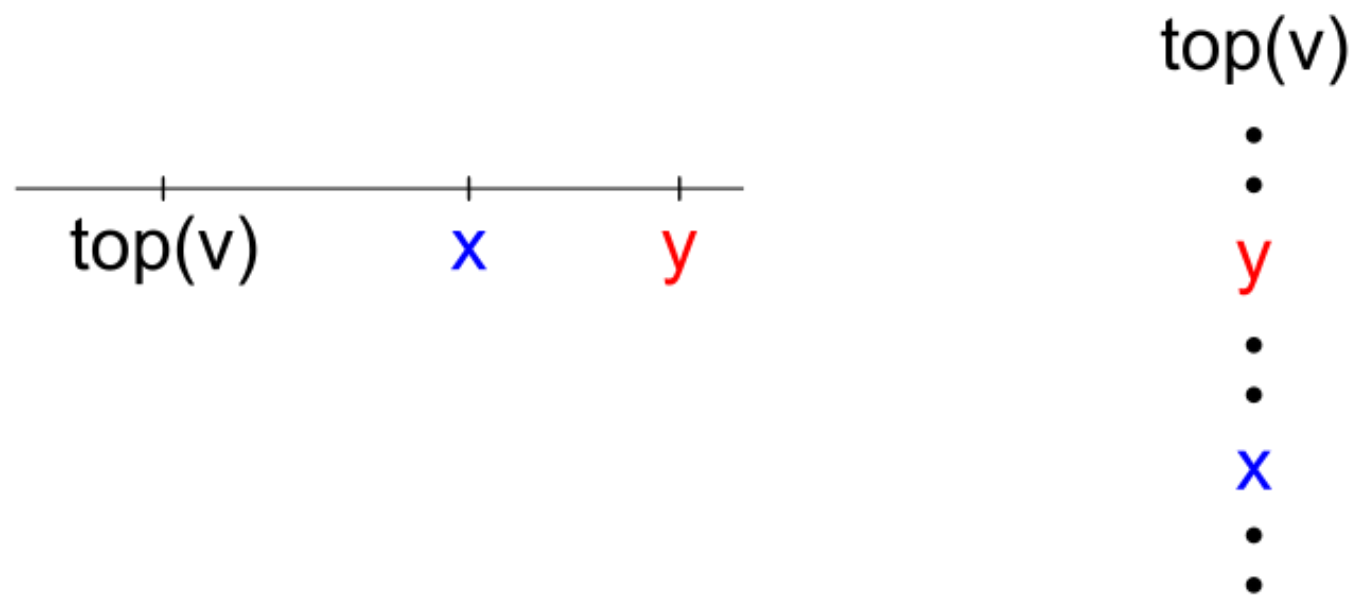
Suppose, for contradiction, that for some pair of candidates  $x, y$  and some voter  $v$ ,  $\text{top}(v) < x < y$  but  $v$  prefers  $y$  over  $x$ .



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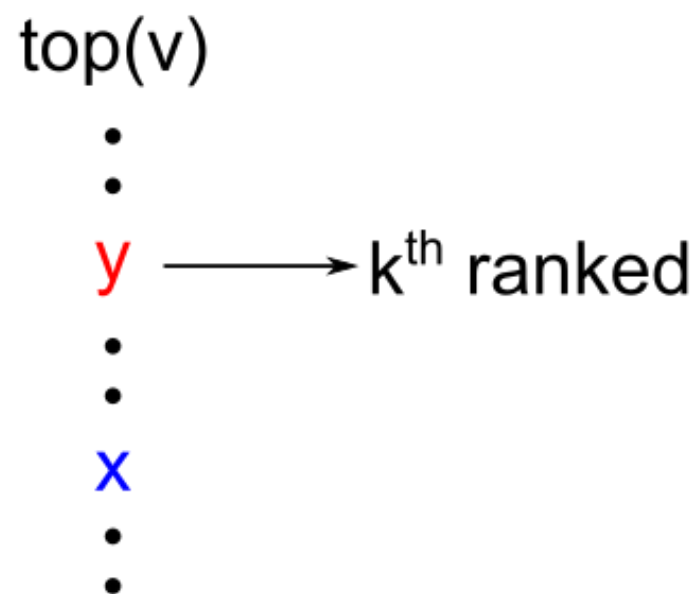
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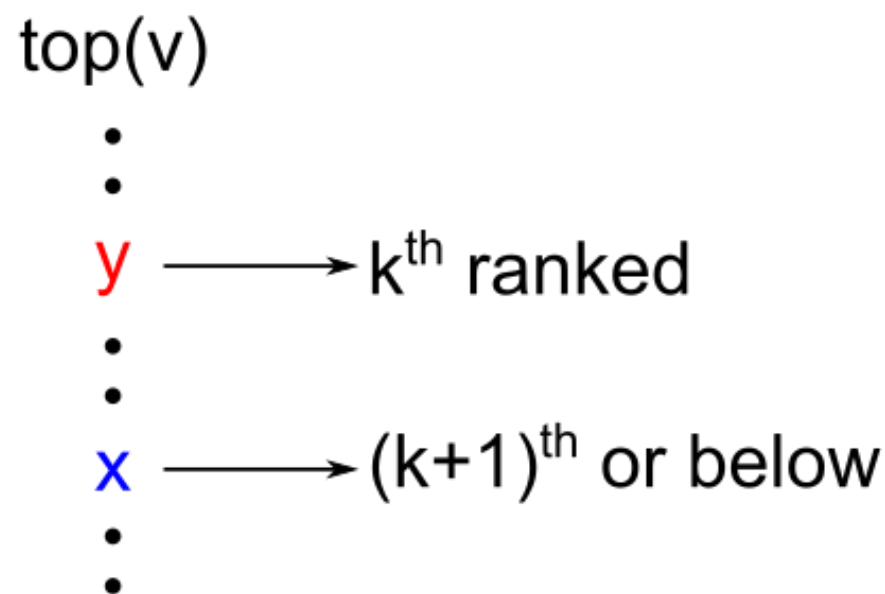
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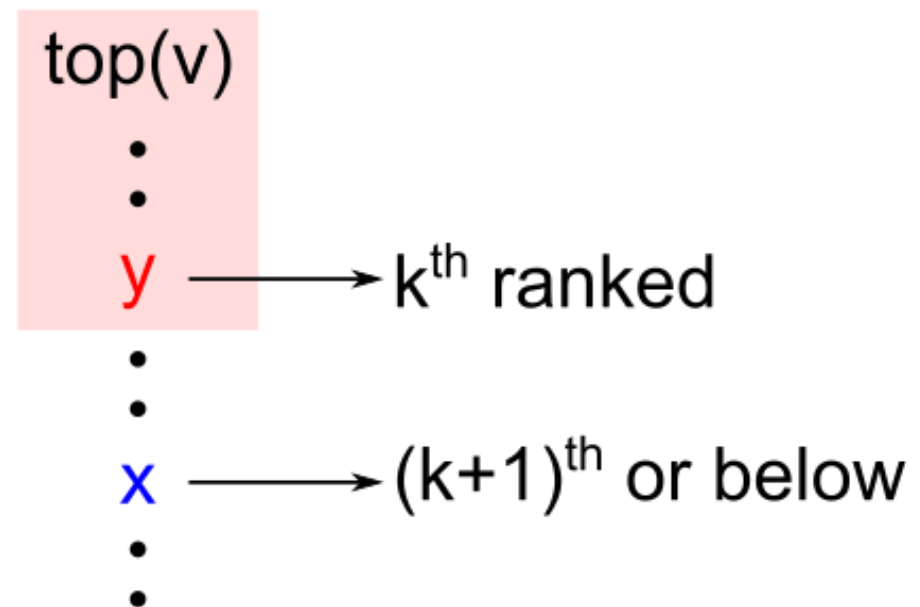
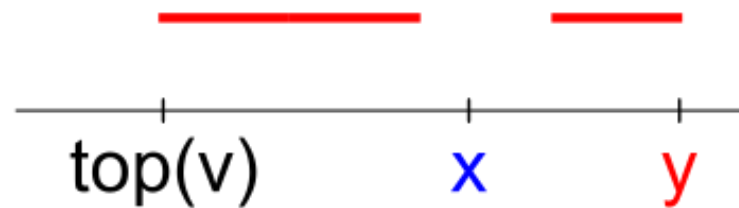
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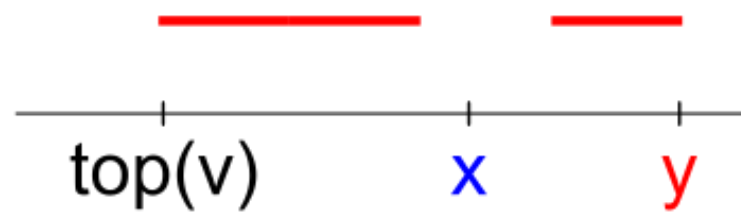
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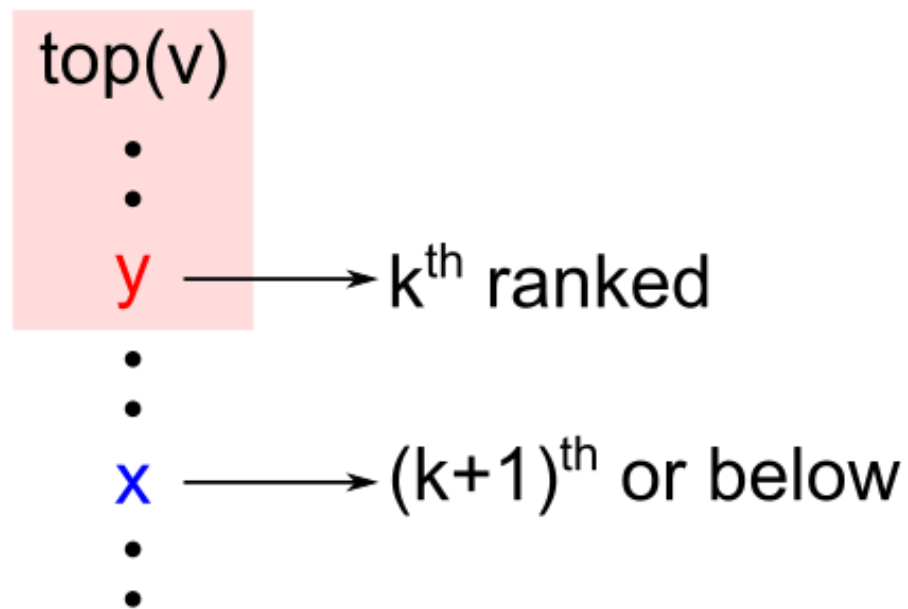
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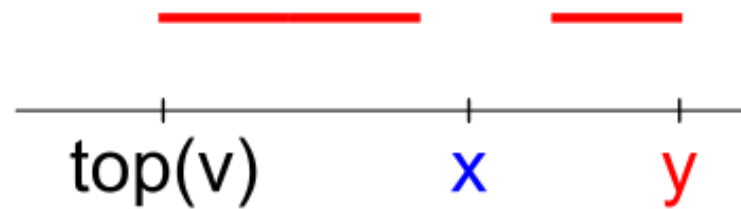
$x$  disconnects the top- $k$  segment



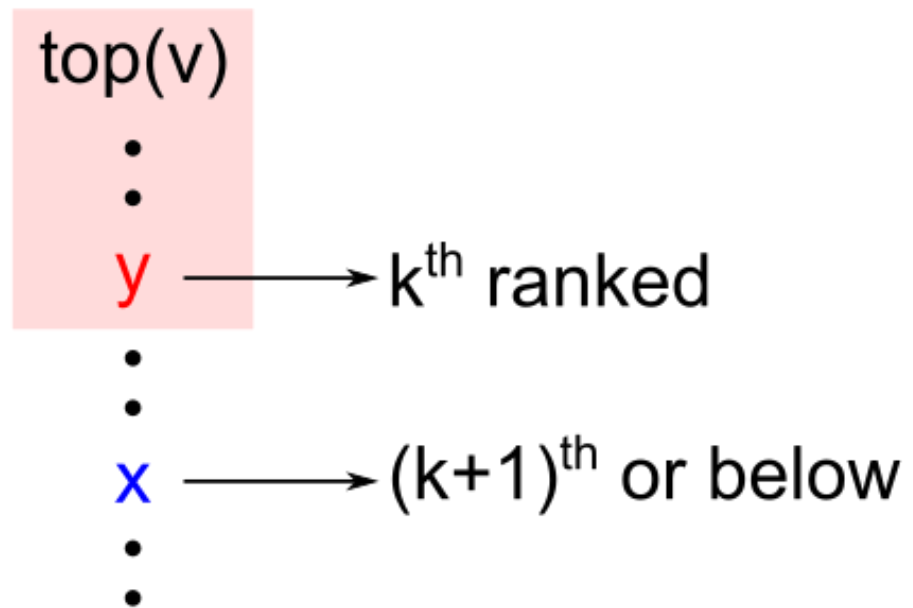
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Single-Peaked  $\Rightarrow$  Contiguous Segments

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Suppose single-peaked property holds w.r.t. the axis  $<$ .



# Single-Peaked $\Rightarrow$ Contiguous Segments

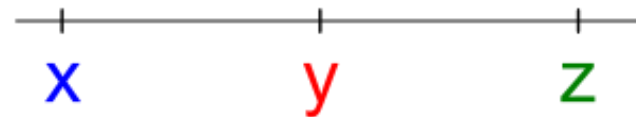
Suppose single-peaked property holds w.r.t. the axis  $<$ .

Then, for any  $x < y < z$ , a voter  $v$  will never rank  $y$  below  $x$  and  $z$ .  
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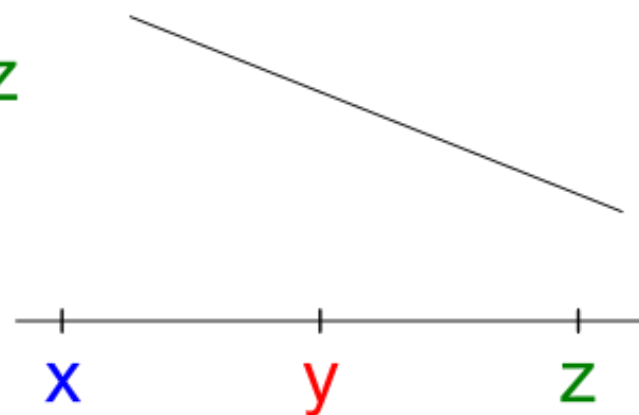


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then  $y$  is preferred over  $z$



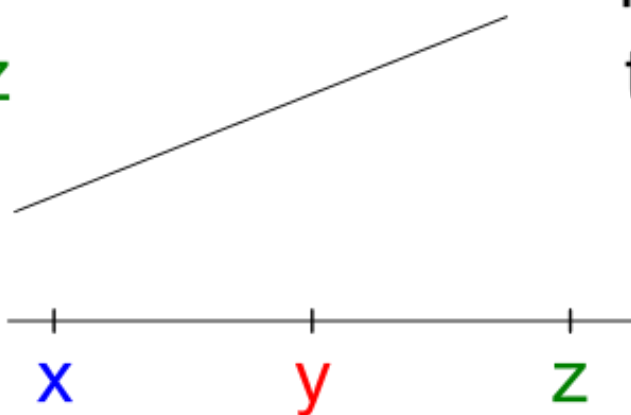
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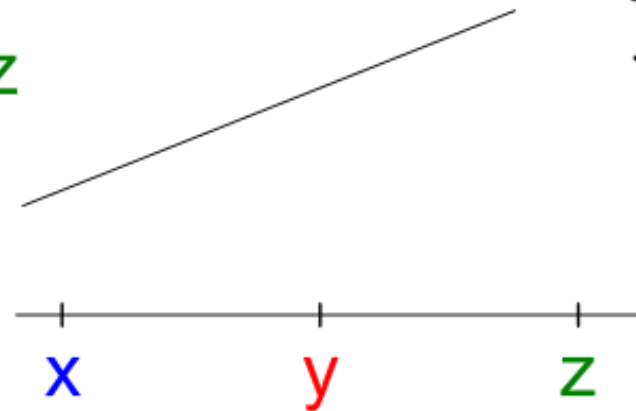
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Thus, single peaked (w.r.t.  $<$ )  $\Rightarrow$  no valleys (w.r.t.  $<$ ).

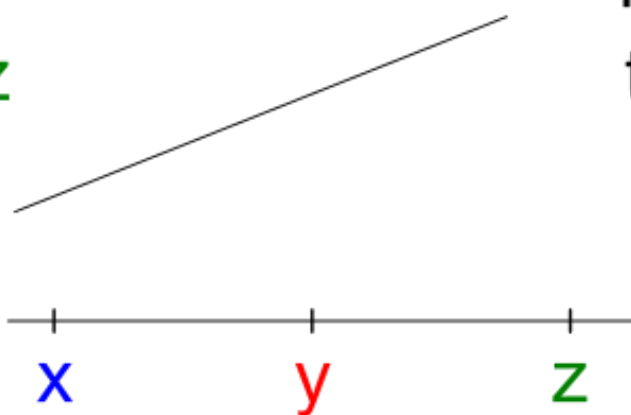
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Let us now show that no valleys (w.r.t.  $<$ )  $\Rightarrow$  contiguous segments (w.r.t.  $<$ ).

Single-Peaked  $\Rightarrow$  Contiguous Segments

# Single-Peaked $\Rightarrow$ Contiguous Segments

Suppose, for contradiction, that for some voter  $v$ , the set of top  $k$  candidates is not contiguous (w.r.t.  $<$ ).



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Pick the smallest such  $k$ .

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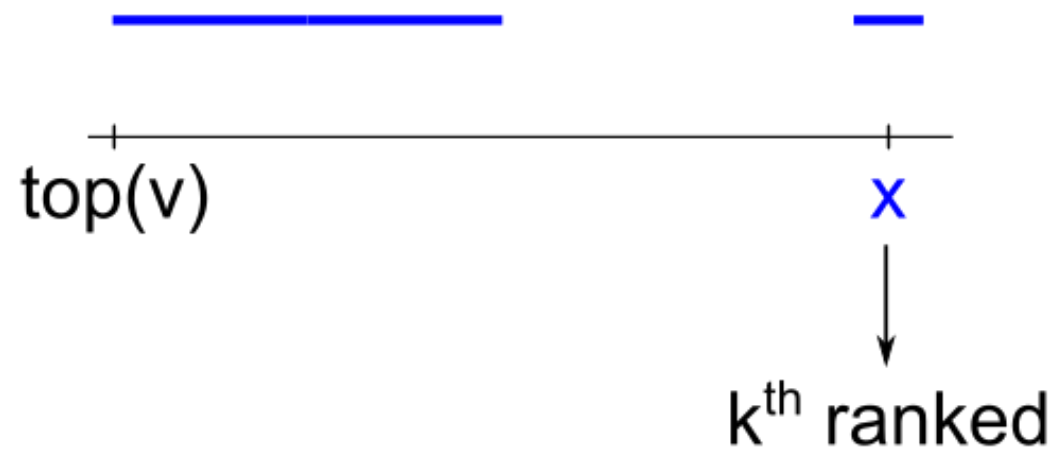
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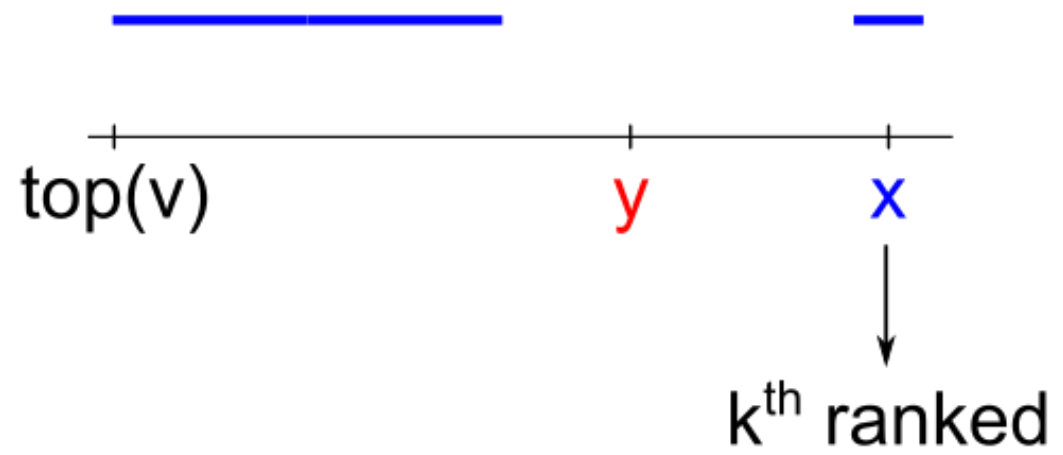
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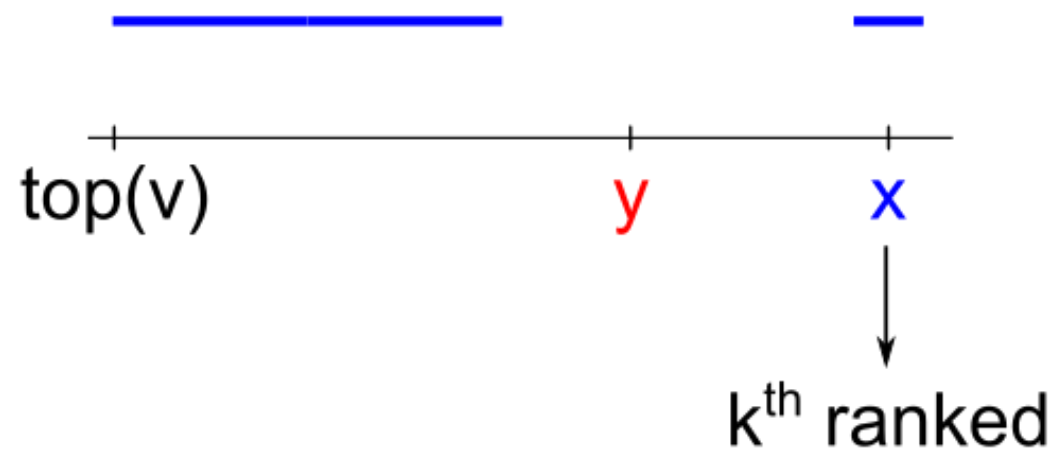


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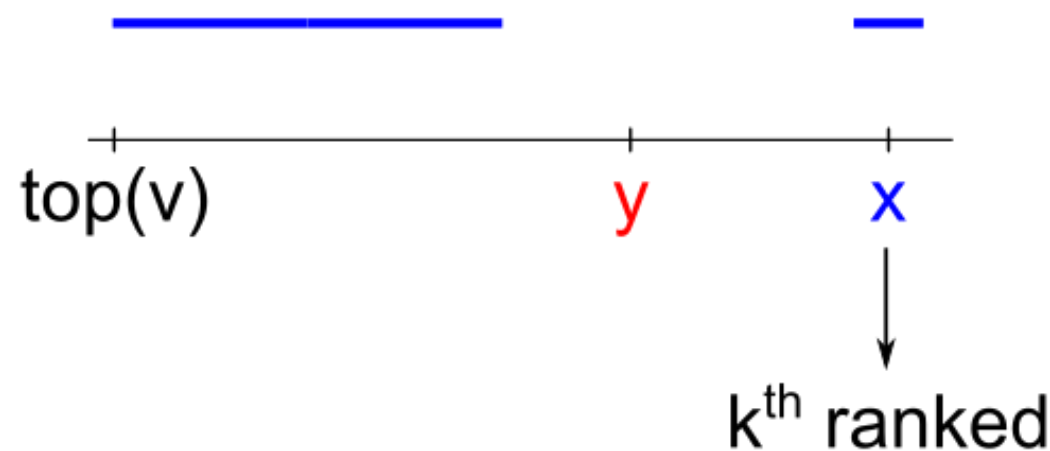
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Then,  $\text{top}(v)$ ,  $y$  and  $x$  constitute a valley.

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Recognizing Single-Peaked Prefs w.r.t. some axis

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We will use the contiguous segments property  
to design an algorithm  
for recognizing single-peaked preferences.



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But, before that, another digression.

# Consecutive 1's Problem

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Given a 0-1 matrix, is there a permutation of columns such that all 1's in each row appear consecutively?

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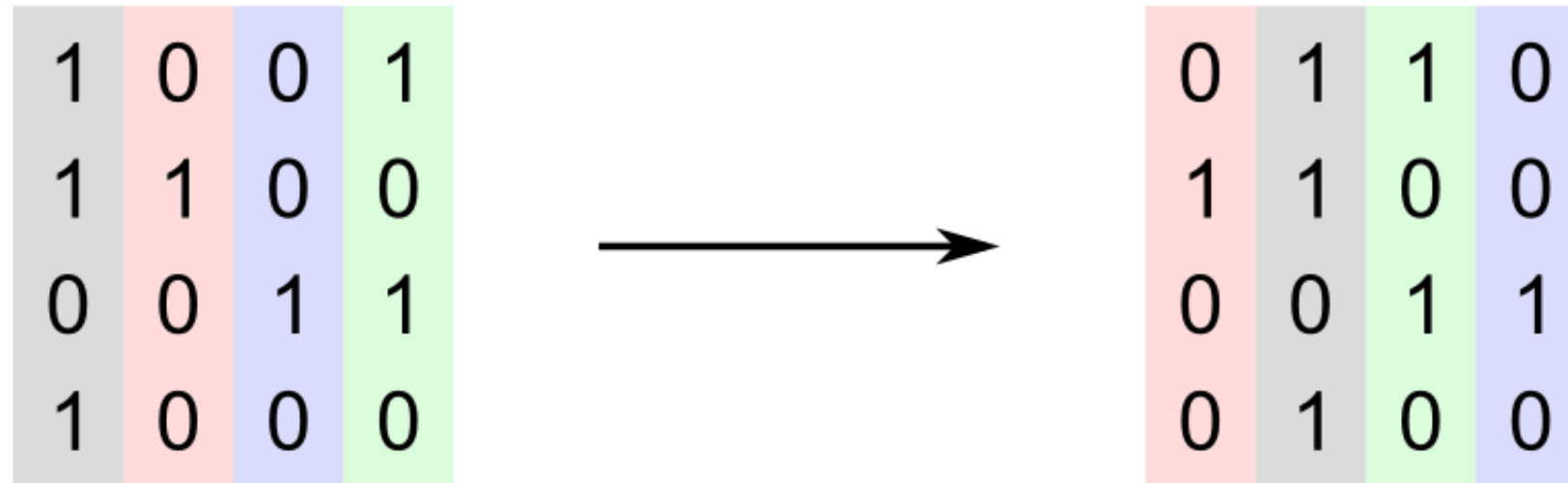
1	0	0	1
1	1	0	0
0	0	1	1
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1	1	0	0
0	0	1	1
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Given a 0-1 matrix, is there a permutation of columns such that all 1's in each row appear consecutively?



[Booth and Leuker, JCSS 1976]

The consecutive 1's problem can be solved in polynomial time.

Recognizing Single-Peaked Prefs w.r.t. some axis



# Recognizing Single-Peaked Prefs w.r.t. some axis

a	d	b
c	e	a
d	c	c
b	a	d
e	b	e

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e



# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

a	b	c	d	e
1	0	1	0	0

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0
	1	1	1	1	0

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0
	1	1	1	1	0

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0
	1	1	1	1	0



# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0
	1	1	1	1	0
	0	0	0	1	1

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0
	1	1	1	1	0
	0	0	0	1	1
	0	0	1	1	1

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0
	1	1	1	1	0
	0	0	0	1	1
	0	0	1	1	1
	1	0	1	1	1

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0
	1	1	1	1	0
	0	0	0	1	1
	0	0	1	1	1
	1	0	1	1	1

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0
	1	1	1	1	0
	0	0	0	1	1
	0	0	1	1	1
	1	0	1	1	1

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
1	0	1	0	0	
1	0	1	1	0	
1	1	1	1	0	
0	0	0	1	1	
0	0	1	1	1	
1	0	1	1	1	
1	1	0	0	0	

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
1	0	1	0	0	
1	0	1	1	0	
1	1	1	1	0	
0	0	0	1	1	
0	0	1	1	1	
1	0	1	1	1	
1	1	0	0	0	
1	1	1	0	0	

# Recognizing Single-Peaked Prefs w.r.t. some axis

a  
c  
d  
b  
e

d  
e  
c  
a  
b

b  
a  
c  
d  
e

	a	b	c	d	e
1	0	1	0	0	
1	0	1	1	0	
1	1	1	1	0	
0	0	0	1	1	
0	0	1	1	1	
1	0	1	1	1	
1	1	0	0	0	
1	1	1	0	0	
1	1	1	1	0	



# Recognizing Single-Peaked Prefs w.r.t. some axis

a c d b  
c e a  
d c c  
b a d  
e b e

	a	b	c	d	e
1	0	1	0	0	
1	0	1	1	0	
1	1	1	1	0	
0	0	0	1	1	
0	0	1	1	1	
1	0	1	1	1	
1	1	0	0	0	
1	1	1	0	0	
1	1	1	1	0	

# Recognizing Single-Peaked Prefs w.r.t. some axis

a	d	b
c	e	a
d	c	c
b	a	d
e	b	e

	a	b	c	d	e
1	0	1	0	0	
1	0	1	1	0	
1	1	1	1	0	
0	0	0	1	1	
0	0	1	1	1	
1	0	1	1	1	
1	1	0	0	0	
1	1	1	0	0	
1	1	1	1	0	

[Bartholdi and Trick, ORL 1986]

A preference profile is single-peaked  
if and only if

its prefix matrix satisfies consecutive 1's property.

# Recognizing Single-Peaked Prefs w.r.t. some axis

a	d	b
c	e	a
d	c	c
b	a	d
e	b	e

	a	b	c	d	e
	1	0	1	0	0
	1	0	1	1	0
	1	1	1	1	0
	0	0	0	1	1
	0	0	1	1	1
	1	0	1	1	1
	1	1	0	0	0
	1	1	1	0	0
	1	1	1	1	0

[Bartholdi and Trick, ORL 1986]

A preference profile is single-peaked  
if and only if

its prefix matrix satisfies consecutive 1's property.

# Recognizing Single-Peaked Prefs w.r.t. some axis

a	d	b
c	e	a
d	c	c
b	a	d
e	b	e

b	a	c	d	e
0	1	1	0	0
0	1	1	1	0
1	1	1	1	0
0	0	0	1	1
0	0	1	1	1
0	1	1	1	1
1	1	0	0	0
1	1	1	0	0
1	1	1	1	0

[Bartholdi and Trick, ORL 1986]

A preference profile is single-peaked  
if and only if

its prefix matrix satisfies consecutive 1's property.

Do single-peaked preferences occur in real world?

# PrefLib: A Library for Preferences

Data ▾

Elections

Matchings

Ratings

Search

About

## PrefLib

PrefLib is a reference library of preference data and links assembled by *Nicholas Mattei*, *Toby Walsh* and lately *Simon Rey*. This site and library is proudly supported by the *Algorithmic Decision Theory group* at *Data61* and the *The COMSOC Group at the University of Amsterdam*.

We want to provide a comprehensive resource for the multiple research communities that deal with preferences, including computational social choice, recommender systems, data mining, machine learning, and combinatorial optimization, to name just a few.

For more information on PrefLib and some helpful tips on using it, please see Nick's Tutorial *Slides and Code* from *EXPLORE 2014*. Check out the *data type* page to learn more about the kind of data we provide.

Please see the *about* page for information about the site, contacting us, and our citation policy. We rely on the support of the community in order to grow the usefulness of this site. To contribute, please contact *Nicholas Mattei* at: [nsmattei{at}gmail](mailto:nsmattei@gmail.com) or *Simon Rey* at: [s.j.rey{at}uva{dot}nl](mailto:s.j.rey@uva.nl).

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### In Brief

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We currently host:

- 11 types of data
- 38 datasets
- 3668 data files
- More than 3.37 Gb. of data

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### Other Links

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Here are some links that you might find relevant as well.

- *DEMOCRATIX: A Declarative Approach to Winner Determination*

## CHAPTER 15

# A PREFLIB.ORG Retrospective: Lessons Learned and New Directions

Nicholas Mattei and Toby Walsh

## Trends in Computational Social Choice

**Realism.** Perhaps the key motivating factor behind assembling PREFLIB was a desire to have realistic data. Many of the models studied in classical social choice seem to be chosen because they *seem* reasonable or were explicitly chosen for mathematical expediency. Perhaps nothing is more of an exemplar here than the fact that out of over 300 profiles containing strict, complete preference relations, absolutely none are single-peaked, a common profile restriction that has been called “natural” or “well-motivated” numerous times since its introduction by Black (1948). Collecting data has helped us to quantify what is reasonable. Now we have to start using the data.

# Summarizing the Voting Landscape



# Summarizing the Voting Landscape



Two candidates: Majority!

Three+ candidates:

Majority relation can be cyclic

Many voting rules, many applications!

# Summarizing the Voting Landscape



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Majority relation can be cyclic  
Many voting rules, many applications!



Any "reasonable" voting rule is  
either manipulable or a dictatorship.

# Summarizing the Voting Landscape



Two candidates: Majority!

Three+ candidates:  
Majority relation can be cyclic  
Many voting rules, many applications!



Any "reasonable" voting rule is  
either manipulable or a dictatorship.



Computational complexity can  
sometimes prevent manipulation.

(and a bit about sports)

# Summarizing the Voting Landscape



Two candidates: Majority!

Three+ candidates:  
Majority relation can be cyclic  
Many voting rules, many applications!



Any "reasonable" voting rule is  
either manipulable or a dictatorship.



Structured preferences can help  
circumvent negative results.

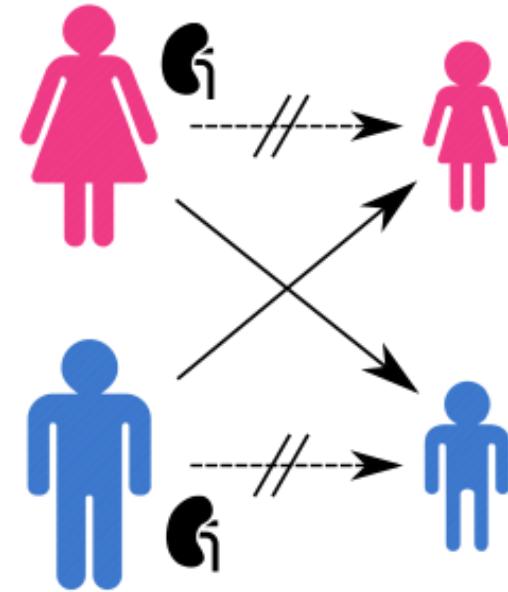
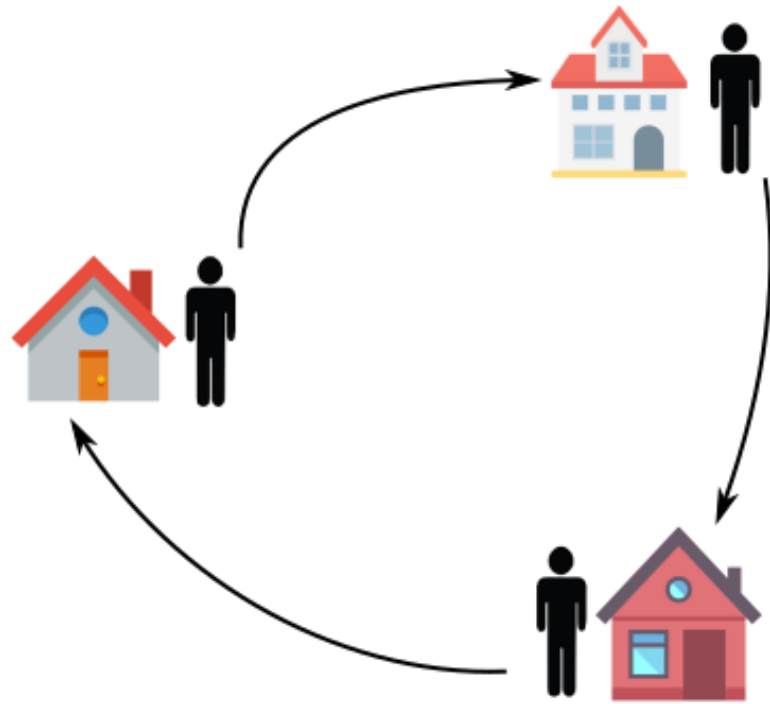


Computational complexity can  
sometimes prevent manipulation.

(and a bit about sports)

# Next Time

## House allocation and kidney exchange



Reminder: **Project groups due today!**

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- Single-peaked preferences in theory:

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- Single-peaked preferences in the real-world:

Nicholas Mattei and Toby Walsh

*“A PREFLIB.ORG Retrospective: Lessons Learned and New Directions”*

Chapter 15 in Trends in Computational Social Choice

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# References

- Lecture by Edith Elkind on restricted preference domains:  
<https://www.youtube.com/watch?v=q8vc8Znoev0>  
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- Strategyproof voting rules using “phantom” voters:

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